

Singularities of mixed polynomials with Newton polyhedra

Toshi Fukui (Saitama University)
15:00–15:30, 18 September 2023

WORKSHOP on
Algebraic and Analytic Singularities
18–22 September 2023,
Warsaw University of Technology

Mixed polynomial

A **Mixed polynomial** is a linear combination

$$f = \sum_{\nu, \bar{\nu}} c_{\nu, \bar{\nu}} x^{\nu} \bar{x}^{\bar{\nu}}, \quad c_{\nu, \bar{\nu}} \in \mathbb{C}$$

of **mixed monomials**

$$x^{\nu} \bar{x}^{\bar{\nu}} = x_1^{\nu_1} \cdots x_n^{\nu_n} \bar{x}_1^{\bar{\nu}_1} \cdots \bar{x}_n^{\bar{\nu}_n}$$

where

$$\mathbf{x} = (x_1, \dots, x_n), \quad \bar{\mathbf{x}} = (\bar{x}_1, \dots, \bar{x}_n)$$

(\bar{x}_i is the complex conjugate of x_i) and

$$\nu = (\nu_1, \dots, \nu_n) \in \mathbb{Z}_{\geq}^n, \quad \bar{\nu} = (\bar{\nu}_1, \dots, \bar{\nu}_n) \in \mathbb{Z}_{\geq}^n.$$

Maps defined By mixed polynomial

A mixed polynomial $f = \sum_{\nu, \bar{\nu}} c_{\nu, \bar{\nu}} x^{\nu} \bar{x}^{\bar{\nu}}$ defines a map

$$\mathbb{C}^n \longrightarrow \mathbb{C}, \quad x \longmapsto \sum_{\nu, \bar{\nu}} c_{\nu, \bar{\nu}} x^{\nu} \bar{x}^{\bar{\nu}}$$

which we also denote by f .

Pichon-Seade 2008: $f\bar{g}$ may admit Milnor fibration

M. Oka 2010-: topology of singularities of mixed polynomials using toric modifications

Motivation of today's talk

For polynomial-germ,
we consider Newton polyhedrons
and construct a toric modification using fan,
this provides a resolution of singularity
when the polynomial is non-degenerate with
respect to its Newton polyhedron.

What is the mixed counter part of this theory?
Today we seek a mixed analogy of this theory.

Today's story (conclusion)

In a nutshell, for a mixed polynomial,
we consider a mixed Newton polyhedron
and then construct a mixed toric modification,
using mixed fan
it provides a mixed analogue of a resolution of
singularity
under mixed Newton non-degeneracy condition.

Any real polynomial can be expressed as a mixed polynomial, since

$$\operatorname{Re} x_i = \frac{x_i + \bar{x}_i}{2}, \quad \operatorname{Im} x_i = \frac{x_i - \bar{x}_i}{2i}$$

where i is the imaginary unit.

A **pure polynomial** is a linear combination

$$\sum_{\nu} c_{\nu} x^{\nu}, \quad c_{\nu}, \bar{\nu} \in \mathbb{C},$$

of pure monomials

$$x^{\nu} = x_1^{\nu_1} \cdots x_n^{\nu_n}$$

For a mixed polynomial $f = \sum_{\nu, \bar{\nu}} c_{\nu, \bar{\nu}} x^{\nu} \bar{x}^{\bar{\nu}}$ we define

$$\Gamma_+(f) = \text{co}\{\nu + \bar{\nu} + \mathbb{R}_{\geq}^n : c_{\nu, \bar{\nu}} \neq 0\}.$$

For $a \in \mathbb{R}^n$, we define

$$\begin{aligned} \ell(a) &= \min\{\langle a, \nu \rangle : \nu \in \Gamma_+(f)\}, \\ \gamma(a) &= \{\nu \in \Gamma_+(f) : \langle a, \nu \rangle = \ell(a)\}. \end{aligned}$$

If f is a pure polynomial, that is, $\bar{\nu} = 0$ for all $\bar{\nu}$, these are usual data for a Newton diagram.

If f is a mixed polynomial, $\Gamma_+(f)$ is

the absolute Newton polyhedrons of f .
(called by radial Newton polyhedrons by M.Oka)

We consider the dual Newton diagram

$$\Gamma^*(f) = \{[\bar{a}] : a \in \mathbb{R}_{\geq}^n\}$$

where $[a]$ is the equivalence class of a by the equivalence relation defined by

$$a \sim b \iff \gamma(a) = \gamma(b),$$

and, we take

a nonsingular fan Σ , which is a subdivision of $\Gamma^*(f)$.

Then we have a toric modification

$$\pi_{\Sigma} : M_{\Sigma} \longrightarrow \mathbb{C}^n.$$

Fan

Let Σ denote a **fan**, that is, a finite collection of rational polyhedral cones in \mathbb{R}^n with the following properties.

- If $\sigma \in \Sigma$ and τ is a face of σ , then $\tau \in \Sigma$.
- If $\sigma, \sigma' \in \Sigma$, then $\sigma \cap \sigma'$ is a face of σ .

We assume that Σ is **nonsingular**, that is, each $\sigma \in \Sigma$ is generated by a part of \mathbb{Z} -basis of \mathbb{Z}^n .

For a fan Σ ,

$$\Sigma(k) := \{\sigma \in \Sigma : \dim \sigma = k\}$$

For a cone σ ,

$$\sigma(k) := \{\tau : k\text{-dim. face of } \sigma\}$$

Let $a^\tau = \begin{pmatrix} a_1^\tau \\ \vdots \\ a_n^\tau \end{pmatrix}$ be a primitive integral vector
 generating 1-cone $\tau \in \Sigma(1)$.

Let G be the kernel of the group morphism

$$\pi^* : (\mathbb{C}^*)^{\Sigma(1)} \longrightarrow (\mathbb{C}^*)^n, \quad (z_\tau)_{\tau \in \Sigma(1)} \longmapsto \left(\prod_{\tau \in \Sigma(1)} z_\tau^{a_i^\tau} \right)_{i=1, \dots, n}.$$

For $\sigma \in \Sigma(n)$, we set

$$U_\sigma = \left\{ (u_\tau)_{\tau \in \Sigma(1)} \in \mathbb{C}^{\Sigma(1)} : \prod_{\tau' \notin \sigma(1)} u_{\tau'} \neq 0 \right\}$$

An element $(z_\tau)_{\tau \in \Sigma(1)} \in G$ acts on U_σ , as

$$U_\sigma \longrightarrow U_\sigma, \quad (u_\tau)_{\tau \in \Sigma(1)} \longmapsto (z_\tau u_\tau)_{\tau \in \Sigma(1)},$$

and thus on

$$U_\Sigma = \bigcup_{\sigma \in \Sigma(n)} U_\sigma.$$

Def of $M_\Sigma \rightarrow \mathbb{C}^n$

We define

$$M_\Sigma = U_\Sigma / G = \bigcup_{\sigma \in \Sigma(n)} M_\sigma, \quad M_\sigma = U_\sigma / G.$$

For $\sigma \in \Sigma(n)$, setting

$$V_\sigma = \{(u_\tau)_\tau \in U_\sigma : u_{\tau'} = 1, \tau' \notin \sigma(1)\},$$

we have an isomorphism:

$$\mathbb{C}^{\sigma(1)} = V_\sigma \longrightarrow M_\sigma$$

We have the following commutative diagram:

$$\begin{array}{ccc} U_\Sigma \supset (\mathbb{C}^*)^{\Sigma(1)} & \xrightarrow{\pi^*} & (\mathbb{C}^*)^n \\ \downarrow /G & & \cap \\ M_\Sigma & \xrightarrow{\pi} & \mathbb{C}^n \end{array}$$

Resolution for pure poly.

For pure poly. $f = \sum_{\nu} c_{\nu} x^{\nu}$, set

$$f_{\gamma} = \sum_{\nu \in \gamma} c_{\nu} x^{\nu}.$$

Remark that f_{γ} is a weighted homogeneous when γ is a face of $\Gamma_{+}(f)$.

If f is **non-degenerate**, that is,

$$\Sigma(f_{\gamma}) \subset \{x_1 \cdots x_n = 0\}$$

for any compact face γ of $\Gamma_{+}(f)$, then

$\pi : M_{\Sigma} \rightarrow \mathbb{C}^n$ provides a resolution of f .

Mixed weighted homogeneous polynomial

We say that a mixed polynomial f is

a mixed weighted homogeneous polynomial
of weight (a, b) with degree (l, m) ,
if f is a \mathbb{C} -linear combination of $x^\nu \bar{x}^{\bar{\nu}}$ with

$$\langle \nu + \bar{\nu}, a \rangle = l, \quad \langle \nu - \bar{\nu}, b \rangle = m. \quad (1)$$

Property of mixed w.h.poly.

If f is mixed w.h, we have

$$m \neq 0 \implies \Sigma(f) \subset f^{-1}(0),$$

applying Cauchy-Binet formula for

$$\begin{pmatrix} x_1 \partial_{x_1} f & \bar{x}_1 \partial_{\bar{x}_1} f & \cdots & x_n \partial_{x_n} f & \bar{x}_n \partial_{\bar{x}_n} f \\ x_1 \partial_{x_1} \bar{f} & \bar{x}_1 \partial_{\bar{x}_1} \bar{f} & \cdots & x_n \partial_{x_n} \bar{f} & \bar{x}_n \partial_{\bar{x}_n} \bar{f} \end{pmatrix} \begin{pmatrix} a_1 & b_1 \\ a_1 & -b_1 \\ \vdots & \vdots \\ a_n & b_n \\ a_n & -b_n \end{pmatrix} = \begin{pmatrix} lf & mf \\ l\bar{f} & -m\bar{f} \end{pmatrix}.$$

Newton diagram for mixed poly.

If f is a mixed polynomial, we have more "Newton diagram" (i.e., mixed Newton diagram).

For $\gamma = \gamma(a)$, we set

$$\text{LE}_\gamma(f) = \text{co}\{\nu - \bar{\nu} : \nu + \bar{\nu} \in \gamma, c_{\nu, \bar{\nu}} \neq 0\}$$

We consider

$$\Gamma_+(f), \text{LE}_\gamma(f) \quad (\gamma : \text{compact faces of } \Gamma_+(f))$$

as a counter part of Newton polyhedra of f .

Mixed Newton non-deg

We say that a mixed polynomial f is **mixed Newton non-degenerate** if the following conditions hold.

(a) For each compact face γ of $\Gamma_+(f)$, we have

$$\Sigma(f_\gamma) \cap f_\gamma^{-1}(0) \subset \{x_1 \cdots x_n = 0\} \quad (2)$$

where $f_\gamma = \sum_{\nu + \bar{\nu} \in \gamma} c_{\nu, \bar{\nu}} x^\nu \bar{x}^{\bar{\nu}}$.

(b) The polynomial f_γ is mixed w.h. for each compact face γ of $\Gamma_+(f)$.

As Oka, we say that a mixed polynomial f is **non-degenerate** if the condition (a) above holds.

Mixed fan

Let Σ be a simplicial fan (i.e., each $\sigma \in \Sigma$ is simplicial), and let β be a map

$$\Sigma(1) \longrightarrow \mathbb{Z}^n \times \mathbb{Z}^n, \quad \tau \longmapsto (a^\tau, b^\tau).$$

We say that (Σ, β) is a **mixed fan**, if the following conditions hold.

- (i) $\{a^\tau : \tau \in \Sigma(1)\}$: a^τ a primitive generator of $\tau \in \Sigma(1)$.
- (ii) $\{b^\tau : \tau \in \sigma(1)\}$ forms a part of \mathbb{Z} -basis of \mathbb{Z}^n for all $\sigma \in \Sigma$.

If $a^\tau = b^\tau$ for $\tau \in \Sigma(1)$, the mixed fan (Σ, β) is a nonsingular fan mentioning primitive generators a^τ for $\tau \in \Sigma(1)$.

Let us define the group G as the kernel of the group morphism:

$$\pi_{\Sigma, \beta}^* : (\mathbb{C}^*)^{\Sigma(1)} \longrightarrow (\mathbb{C}^*)^n,$$

$$(z_\tau)_{\tau \in \Sigma(1)} \longmapsto \left(\prod_{\tau \in \Sigma(1)} |z_\tau|^{a_i^\tau} \left(\frac{z_\tau}{|z_\tau|} \right)^{b_i^\tau} \right)_{i=1, \dots, n}.$$

We clearly have

$$(z_\tau)_{\tau \in \Sigma(1)} \in G \iff \begin{cases} \sum_{\tau \in \Sigma(1)} a_i^\tau \log |z_\tau| = 0, \\ \sum_{\tau \in \Sigma(1)} b_i^\tau \arg z_\tau \equiv 0 \pmod{2\pi}. \end{cases}$$

For $\sigma \in \Sigma(n)$, these equations can be written as

$$\sum_{\tau \in \sigma(1)} a_i^\tau \log |z_\tau| = - \sum_{\tau' \notin \sigma(1)} a_i^{\tau'} \log |z_{\tau'}|,$$

$$\sum_{\tau \in \sigma(1)} b_i^\tau \arg z_\tau \equiv - \sum_{\tau' \notin \sigma(1)} b_i^{\tau'} \arg z_{\tau'} \pmod{2\pi},$$

and we conclude that an element $(z_\tau)_{\tau \in \sigma(1)} \in G$ is determined by $(z_{\tau'})_{\tau' \notin \sigma(1)}$.

We assume that (Σ, β) is a mixed fan. We define U_Σ by

$$U_\Sigma = \bigcup_{\sigma \in \Sigma(n)} U_\sigma, \quad U_\sigma = \left\{ (u_\tau)_{\tau \in \Sigma(1)} \in \mathbb{C}^{\Sigma(1)} : \prod_{\tau \notin \sigma(1)} u_\tau \neq 0 \right\}.$$

We remark that $(z_\tau)_{\tau \in \Sigma(1)} \in G$ acts on U_σ by

$$(z_\tau)_{\tau \in \Sigma(1)} : U_\sigma \longrightarrow U_\sigma, \quad (u_\tau)_{\tau \in \sigma(1)} \longmapsto (z_\tau u_\tau)_{\tau \in \sigma(1)},$$

and thus on U_Σ .

We define the **mixed toric manifold** $M_{\Sigma, \beta}$ by

$$M_{\Sigma, \beta} = U_\Sigma / G = \bigcup_{\sigma \in \Sigma(n)} M_\sigma, \quad \text{and } M_\sigma = U_\sigma / G.$$

Chart for $M_{\Sigma, \beta}$

Set

$$V_{\sigma} = \{(u_{\tau})_{\tau \in \Sigma(1)} : u_{\tau'} = 1, \tau' \notin \sigma(1)\}.$$

We conclude that the composition

$$V_{\sigma} \subset U_{\sigma} \longrightarrow U_{\sigma}/G = M_{\sigma}$$

is a semi-algebraic homeomorphism. We consider this map as a semi-algebraic chart of a mixed toric manifold $M_{\Sigma, \beta}$, identifying V_{σ} with $\mathbb{C}^{\sigma(1)}$.

Algebraicity of $M_{\Sigma, \beta}$

Prop.

Let (Σ, β) denote a mixed fan. Then $M_{\Sigma, \beta}$ is a real algebraic manifold if

$$a^\tau \equiv b^\tau \pmod{2} \quad \text{for } \tau \in \Sigma(1).$$

Mixed toric modification (I)

We assume that

- (i) (Σ, β) is a mixed fan. Set $\beta(\tau) = (a^\tau, b^\tau)$ for $\tau \in \Sigma(1)$.
- (ii) A fan Σ is a subdivision of \mathbb{R}_Σ^n . In particular, each $a_j^\tau, \tau \in \Sigma(1), j = 1, \dots, n$, is non-negative.
- (iii) For any $\tau \in \Sigma(1)$ and $j = 1, \dots, n$, $a_j^\tau = 0$ implies $b_j^\tau = 0$.

Then the map $\pi_{\Sigma, \beta}^*$ extends to the map

$$\tilde{\pi}_{\Sigma, \beta} : U_\Sigma \longrightarrow \mathbb{C}^n,$$
$$(u_\tau)_{\tau \in \Sigma(1)} \longmapsto \left(\prod_{\tau \in \Sigma(1)} |u_\tau|^{a_i^\tau} \left(\frac{u_\tau}{|u_\tau|} \right)^{b_i^\tau} \right)_{i=1, \dots, n}.$$

Mixed toric modification (2)

Since this map is G -invariant, $\tilde{\pi}_{\Sigma, \beta}$ induces the natural map

$$\pi = \pi_{\Sigma, \beta} : M_{\Sigma, \beta} \longrightarrow \mathbb{C}^n, \quad (3)$$

which we call the **mixed toric modification** defined by the mixed fan (Σ, β) .

$$\begin{array}{ccc} U_{\Sigma} \supset (\mathbb{C}^*)^{\Sigma(1)} & \xrightarrow{\pi^*} & (\mathbb{C}^*)^n \\ \downarrow /G & & \cap \\ M_{\Sigma, \beta} & \xrightarrow{\pi} & \mathbb{C}^n \end{array}$$

Thm: The map $\pi = \pi_{\Sigma, \beta} : M_{\Sigma, \beta} \longrightarrow \mathbb{C}^n$ is proper.

We consider the Newton polyhedron $\Gamma_+(f)$.
For $\mathbf{a} \in \mathbb{R}^n$, set

$$\ell(\mathbf{a}) = \min\{\langle \mathbf{a}, \boldsymbol{\nu} \rangle : \boldsymbol{\nu} \in \Gamma_+(f)\}, \text{ and} \\ \gamma(\mathbf{a}) = \{\boldsymbol{\nu} \in \Gamma_+(f) : \langle \mathbf{a}, \boldsymbol{\nu} \rangle = \ell(\mathbf{a})\}.$$

Define $\text{LE}_\gamma(f)$ by

$$\text{LE}_\gamma(f) = \text{co}\{\boldsymbol{\nu} - \bar{\boldsymbol{\nu}} : c_{\boldsymbol{\nu}, \bar{\boldsymbol{\nu}}} \neq 0, \boldsymbol{\nu} + \bar{\boldsymbol{\nu}} \in \gamma\}. \quad (4)$$

Let \mathbf{a}^τ denote the primitive vector which generates τ for $\tau \in \Sigma_0(1)$. Set

$$m(\mathbf{b}^\tau) = \min\{\langle \mathbf{b}^\tau, \boldsymbol{\nu} \rangle : \boldsymbol{\nu} \in \text{LE}_{\gamma(\mathbf{a}^\tau)}(f)\}.$$

We can assume that $m(\mathbf{b}^\tau) \geq 0$, $\tau \in \Sigma(1)$, changing the sign of \mathbf{b}^τ , if necessary.

Mixed analogy of normal crossing

We say a subset Z of \mathbb{C}^n is of **semi-algebraically normal crossing** at $z \in Z$

if there is a semi-algebraic coordinate system (U, φ) , U an open neighborhood of z , and a semi-algebraic homeomorphism

$$\varphi : U \longrightarrow \varphi(U) \subset \mathbb{C}^n \text{ centred at } z,$$

so that $Z \cap U$ is the inverse image of zero of a pure monomial by φ .

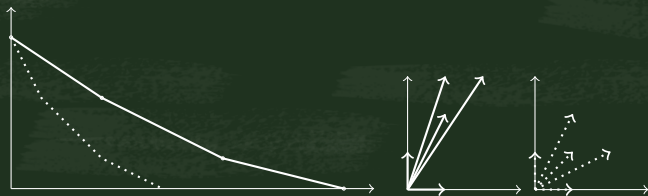
Theorem

Let f be a mixed polynomial,
which is mixed Newton non-degenerate,
and let (Σ, β) denote a mixed fan as above.
Then, for a mixed toric modification

$$\pi_{\Sigma, \beta} : M_{\Sigma, \beta} \longrightarrow \mathbb{C}^n,$$

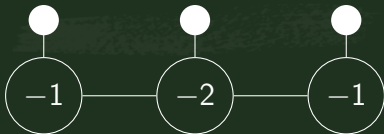
the subset $(f \circ \pi_{\Sigma, \beta})^{-1}(0)$ in V_σ is of semi-algebraically normal crossing near $\pi^{-1}(0)$.

Example $f = x_2^5 + x_1^2 \bar{x}_1 x_2^3 + x_1^5 \bar{x}_1^2 x_2 + x_1^8 \bar{x}_1^3$.

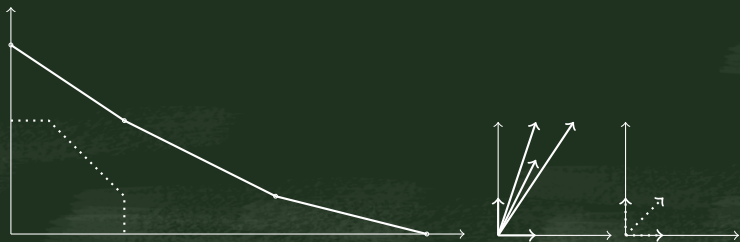


$$(\mathbf{a}^T) = \begin{pmatrix} 1 & 2 & 1 & 1 & 0 \\ 0 & 3 & 2 & 4 & 1 \end{pmatrix}, \quad (\mathbf{b}^T) = \begin{pmatrix} 1 & 2 & 1 & 1 & 0 \\ 0 & 1 & 1 & 2 & 1 \end{pmatrix},$$

$$\ell = (0 \ 15 \ 9 \ 11 \ 0), \quad m = (0 \ 5 \ 4 \ 5 \ 0)$$



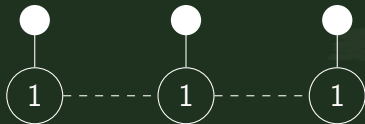
Example $f = x_2^3 \bar{x}_2 + x_1^2 \bar{x}_1 x_2^3 + x_1^5 \bar{x}_1^2 x_2 + x_1^7 \bar{x}_1^4$.



$$(a^T) = \begin{pmatrix} 1 & 2 & 1 & 1 & 0 \\ 0 & 3 & 2 & 4 & 1 \end{pmatrix}, \quad (b^T) = \begin{pmatrix} 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \end{pmatrix},$$

$l = (0 \ 15 \ 9 \ 11 \ 0)$, $m = (0 \ 3 \ 4 \ 3 \ 0)$ and

$(\varepsilon_1, \varepsilon_2, \varepsilon_3, \varepsilon_4) = (1, -1, -1, 1)$. Thus we obtain the dual graph



since $b^1 = -b^0 + b^2$, $b^2 = b^1 + b^3$, $b^3 = b^2 - b^4$.

Thank you very much for
your attention!

Dziękujemy Bardzo za uwagę!

Congratulation on 70th
Birthday, Stanislaw!
Wish good health
and good maths!