# Normal log canonical del Pezzo surfaces of rank one and type (IIb)

### Hideo Kojima and Takeshi Takahashi

(Received 3 September, 2021; Revised 29 November, 2021; Accepted 6 December, 2021)

#### Abstract

Let X be a normal del Pezzo surface of rank one with only rational log canonical singular points defined over  $\mathbb{C}$ ,  $\pi: V \to X$  the minimal resolution of X and D the reduced exceptional divisor of  $\pi$ . We prove that, if there exists a (-1)curve C on V such that CD = 1 and X has a non-KLT singular point, then  $V \setminus \operatorname{Supp}(C + D)(= X \setminus (\operatorname{Sing} X \cup \pi(C)))$  is affine ruled. Furthermore, we determine the surface X of type (IIb) with a non-KLT singular point.

# 1. Introduction

This paper is a continuation of the authors' papers [11], [12], [5], [6], [9] and [10] on normal del Pezzo surfaces of rank one with only rational log canonical singular points. We work over the complex number field  $\mathbb{C}$  and use the intersection theory for normal surfaces due to Mumford [18] and Sakai [20]. In this paper, a normal del Pezzo surface means a normal projective surface whose anticanonical divisor is an ample Q-Cartier divisor. A normal del Pezzo surface is said to have rank one if its Picard number equals one. A normal del Pezzo surface with only KLT (Kawamata log terminal) singular points is usually called a log del Pezzo surface. We call a normal del Pezzo surface with only rational log canonical singular points an *l.c. del Pezzo surface*.

Let X be an l.c. del Pezzo surface of rank one and let  $\pi : V \to X$  be the minimal resolution of X, here we assume that  $\operatorname{Sing} X \neq \emptyset$ . Let  $D = \sum_i D_i$  be the reduced exceptional divisor of  $\pi$ , where the  $D_i$  are irreducible components of D. Here we note that X is a rational surface by [11, Lemma 3.1] and that D is an SNC-divisor (a simple normal crossing divisor) by [2]. We have a unique effective Q-divisor  $D^{\#} = \sum_i \alpha_i D_i$  such that  $K_V + D^{\#} \equiv \pi^* K_X$ . By [11, Lemma 3.2], we know that  $-(K_V + D^{\#})$  is nef and big and that, for an irreducible curve E on V,  $E(K_V + D^{\#}) = 0$  if and only if  $E \subset \operatorname{Supp} D$ . So, for a curve C on V not contained in  $\operatorname{Supp} D$ ,  $-C(K_V + D^{\#}) \in \{n/p \mid b \in \mathbb{Z}_{>0}\}$ , where p is the smallest positive integer such that  $pD^{\#}$  is an integral divisor. We can find irreducible

<sup>2010</sup> Mathematics Subject Classification. Primary 14J26; Secondary 14J17

Key words and phrases. Normal del Pezzo surface, log canonical singularity

This work was supported by JSPS KAKENHI Grant Numbers JP19K03441, JP21K03200.

curves C such that  $-C(K_V + D^{\#})$  attains the smallest positive value. We denote the set of all such curves by MV(V, D).

**Definition 1.1.** (cf. [12, Definition 2.4])

- (1) X (or (V,D)) is said to be of the first kind if there exists a curve  $C \in MV(V,D)$  such that  $|K_V + C + D| \neq \emptyset$ . It is said to be of the second kind if it is not of the first kind, i.e.,  $|K_V + C + D| = \emptyset$  for every curve  $C \in MV(V,D)$ .
- (2) Assume that X (or (V, D)) is of the second kind. It is said to be of type (IIa) if there exists a curve  $C \in MV(V, D)$  meeting at least two (-2)-curves in Supp D. It is said to be of type (IIb) if there exists a curve  $C \in MV(V, D)$  meeting only one component of D but it is not of type (IIa). It is said to be of type (IIc) if there exists a curve  $C \in MV(V, D)$  such that  $CD \geq 3$  but it is neither of type (IIa) nor of type (IIb). It is said to be of type (IId) if it is neither of type (IIa), of type (IIb) nor of type (IIc).

Let X and (V, D) be the same as above. If X is of the first kind, then it has only KLT singular points by [11, Corollary 3.5]. In this case, Zhang [21, Section 3] studied its structure and proved that  $X_0 := X \setminus \text{Sing } X$  is affine uniruled, namely, there exists a dominant morphism  $\phi : \mathbb{A}^1_{\mathbb{C}} \times U \to X_0$ , where U is a smooth curve. Assume that X is of the second kind. In [12], the authors determined the surfaces of type (IIa). Later on, the first author [10] determined the surfaces of type (IIc) containing at least one non-KLT singular points. In fact, every l.c. del Pezzo surface of rank one can have at most one non-KLT singular point by [9, Theorem 1]. For more details on l.c. del Pezzo surfaces of rank one and related results, see [9], [10] and their references.

In this paper, we study l.c. del Pezzo surfaces of rank one and type (IIb). In Section 2, we recall some elementary results on l.c. del Pezzo surfaces of rank one and some results on open algebraic surfaces. In Section 3, we prove the following result.

**Theorem 1.1.** Let X be an l.c. del Pezzo surface of rank one,  $\pi : V \to X$  the minimal resolution of X and D the reduced exceptional divisor of  $\pi$ . Assume that there exists a (-1)-curve C on V such that CD = 1. Then the following assertions hold true.

- (1)  $V \setminus \text{Supp}(C + D) (= X \setminus (\text{Sing } X \cup \pi(C)))$  is affine uniruled.
- (2) If X has a non-KLT singular point P, then V \Supp(C+D) is affine ruled (namely, it contains a surface isomorphic to A<sup>1</sup><sub>C</sub> × U<sub>0</sub>, where U<sub>0</sub> is a smooth curve, as a Zariski open subset) and every singular point of X other than P is a cyclic quotient singular point.

Note that X as in Theorem 1.1 may not be of type (IIb) since the (-1)-curve

C may not be an element of MV(V, D). In [22], Zhang proved the following result: for a normal algebraic surface S with only log canonical singularities and with nef and big anticanonial divisor, its smooth part is affine ruled or has finite fundamental group. We do not use this result in Section 3.

In Section 4, we determine the l.c. del Pezzo surfaces of rank one and type (IIb) with at least two singular points and non-KLT singular points. We prove the following result.

**Theorem 1.2.** Let X be an l.c. del Pezzo surface of rank one and type (IIb),  $\pi: V \to X$  the minimal resolution of X and D the reduced exceptional divisor of  $\pi$ . Assume further that  $\# \operatorname{Sing} X \ge 2$  and X has a non-KLT singular point. Let  $C \in \operatorname{MV}(V, D)$  be a curve such that CD = 1. Then the following assertions hold.

- (1) The divisor C + D is an SNC-divisor and the dual graph of D is given as in (n) for n = 1, ..., 8 in Figure 5.1.
- (2) There exists a P<sup>1</sup>-fibration Φ : V → P<sup>1</sup> in such a way that the configuration of C + D as well as all singular fibers of Φ can be explicitly described. The configuration is given in the configuration (n) for n = 1,...,8 in Figure 5.2.

In [4] and [6], the first author determined the l.c. del Pezzo surfaces of rank one with unique singular points. That is why we assume in Theorem 1.2 that  $\# \operatorname{Sing} X \ge 2$ .

# 2. Preliminaries

We recall some elementary results on l.c. del Pezzo surfaces of rank one and some results on open algebraic surfaces. All the results of this section are wellknown.

We employ the following notations.

 $\Sigma_m$ : the Hirzebruch surface of degree m.

 $K_V$ : the canonical divisor on V.

 $\rho(V)$ : the Picard number of V.

 $\overline{\kappa}(S)$ : the logarithmic Kodaira dimension of S. (See [15] for its definition.)

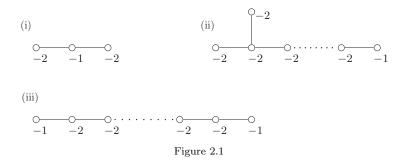
 $F_{\text{red}}$ : the reduced part of an effective divisor F.

 $#D(= #D_{red})$ : the number of irreducible components of  $D_{red}$  of an effective divisor D.

 $\lfloor L \rfloor$ : the integral part of an effective  $\mathbb{Q}$ -divisor L.

#### 2.1 Some results on l.c. del Pezzo surfaces of rank one

Let X be an l.c. del Pezzo surface of rank one and  $\pi: V \to X$  the minimal resolution of X, here we assume that  $\operatorname{Sing} X \neq \emptyset$ . Let  $D = \sum_i D_i$  be the reduced exceptional divisor of  $\pi$ , where the  $D_i$  are irreducible components of D.



Since X has only rational singular points, D is an SNC-divisor (a simple normal crossing divisor) and consists only of smooth rational curves (cf. [2]). We often denote (V, D) and X interchangeably. There is a unique effective Q-divisor  $D^{\#} = \sum_{i} \alpha_{i} D_{i}$  such that  $K_{V} + D^{\#} \equiv \pi^{*} K_{X}$ .

We recall some elementary results given in [11] and [12]. They are originally given in [21] for log del Pezzo surfaces of rank one.

**Lemma 2.1.** With the same notations and assumptions as above, the following assertions hold true.

- (1) X is a rational surface.
- (2) For any irreducible curve F,  $-F(K_V + D^{\#}) = 0$  if and only if F is a component of D.
- (3) Any (-n)-curve with  $n \ge 2$  is a component of D.

*Proof.* See [11, Lemmas 3.1 and 3.2].

**Lemma 2.2.** Let  $\Phi : V \to \mathbb{P}^1$  be a  $\mathbb{P}^1$ -fibration (i.e.,  $\Phi$  is a fibration from V onto  $\mathbb{P}^1$  whose general fiber is isomorphic to  $\mathbb{P}^1$ ). Then the following assertions hold true.

- (1) The number of irreducible components of D not in any fiber of  $\Phi$  equals  $1+\sum_{F}(\#\{(-1)-curves \ in \ F \ \}-1)$ , where F moves over all singular fibers of  $\Phi$ .
- (2) If a singular fiber F of  $\Phi$  consists only of (-1)-curves and (-2)-curves, then the dual graph of F is given as one of the graphs (i)–(iii) in Figure 2.1.

*Proof.* See [21, Lemma 1.5].

**Lemma 2.3.** Let  $\Phi : V \to \mathbb{P}^1$  be a  $\mathbb{P}^1$ -fibration. Assume that there exists a singular fiber F such that its weighted dual graph is given as one of (i) and (ii) in Figure 2.1 and that  $C \in MV(V, D)$ , where C is the unique (-1)-curve in Supp F. Then every singular fiber G consists only of (-1)-curves and (-2)-curves and so the dual graph of G is given as one of (i)–(iii) in Figure 2.1. Moreover, every (-1)-curve in Supp G is an element of MV(V, D).

*Proof.* See [11, Lemma 3.7].

**Lemma 2.4.** Let  $\Phi : V \to \mathbb{P}^1$  be a  $\mathbb{P}^1$ -fibration and let C be a (-1)-curve in MV(V, D). Assume that  $\Phi$  has a singular fiber F such that  $F = 3C + \Delta$ , where  $\Delta$  is an effective divisor with  $\operatorname{Supp} \Delta \subset \operatorname{Supp} D$ . Then every singular fiber of  $\Phi$  consists of (-1)-curves, (-2)-curves and at most one (-3)-curve.

*Proof.* See [11, Lemma 3.8]. The assertion can be proved by using the same argument as in the proof of [21, Lemma 1.6].  $\Box$ 

#### 2.2 Some results on open algebraic surfaces

A reduced effective divisor on a smooth algebraic variety is called an *SNC*divisor if it has only simple normal crossings. Let  $A = A_1 + \cdots + A_r$  be a linear chain of smooth projective rational curves on a smooth projective surface such that  $A_1A_2 = A_2A_3 = \cdots = A_{r-1}A_r = 1$  and set  $a_i = A_i^2$   $(i = 1, \ldots, r)$ . Then we denote the weighted dual graph of A by  $[a_1, a_2, \ldots, a_r]$ . For an integer aand a positive integer s, we use the abbreviation  $[a_s] = [a, a, \ldots, a]$  that is the weighted dual graph of a linear chain consisting of s smooth rational curves with self-intersection number a.

We recall some notions and results on open algebraic surfaces. For more details, see [15, Chapter 2] and [16, Chapter 1].

Let V be a smooth projective surface and D an SNC-divisor on V. We call such a pair (V, D) an SNC-pair. A connected curve consisting only of irreducible components of D is called a connected curve in D for shortness. A connected curve T in D is said to be admissible (resp. rational) if there are no (-1)-curves in Supp T and the intersection matrix of T is negative definite (resp. if it consists only of rational curves). A connected curve T in D is called a *twig* if its dual graph is a linear chain and T meets D - T in a single point at one of the end components of T. An admissible rational twig in D is said to be maximal if it is not extended to an admissible rational twig with more irreducible components of D. A connected curve in D is called a *rod* (resp. a *fork*) if it is a connected component of D and its dual graph is a linear chain (resp. its dual graph is that of the exceptional curves of the minimal resolution of a KLT singular point and is not a linear chain).

Let  $\{T_{\lambda}\}$  (resp.  $\{R_{\mu}\}, \{F_{\nu}\}$ ) be the set of all admissible rational maximal twigs (resp. all admissible rational rods, all admissible rational forks), where no irreducible components of  $T_{\lambda}$ 's belong to  $R_{\mu}$ 's or  $F_{\nu}$ 's. Then there exists a unique decomposition of D as a sum of effective Q-divisors  $D = D^{\#} + Bk(D)$  such that the following two conditions (i) and (ii) are satisfied:

- (i) Supp(Bk(D)) =  $(\cup_{\lambda} T_{\lambda}) \cup (\cup_{\mu} R_{\mu}) \cup (\cup_{\nu} F_{\nu}).$
- (ii)  $(K_V + B^{\#})Z = 0$  for every irreducible component Z of Supp(Bk(D)).

Remark 2.1. Let X be a normal projective surface with only rational log canon-

ical singular points,  $\pi : V \to X$  the minimal resolution of X and D the reduced exceptional divisor of  $\pi$ . Then D is an SNC-divisor. Since X has only log canonical singular points, the Q-divisor  $D^{\#}$  defined as in the last paragraph is the same as that defined in Introduction and Section 2.1. Namely,  $\pi^*(K_X) \equiv K_V + D^{\#}$ .

**Definition 2.1.** An SNC-pair (V, D) is said to be *almost minimal* if, for every irreducible curve C on V, either  $C(K_V + D^{\#}) \ge 0$  or  $C(K_V + D^{\#}) < 0$  and the intersection matrix of C + Bk(D) is not negative definite.

For an SNC-pair (V, D), there exists a birational morphism  $\mu : V \to W$  onto a smooth projective surface W such that the following conditions are satisfied:

- (1)  $\Delta := \mu_*(D)$  is an SNC-divisor.
- (2) For any (-1)-curve  $E \subset \Delta$ ,  $E(\Delta E) \geq 2$  and the equality holds if and only if E meets a unique irreducible component of  $\Delta - E$ . ( $\Delta$  is then said to be SNC-minimal.)
- (3)  $\overline{\kappa}(V \setminus \operatorname{Supp} D) = \overline{\kappa}(X \setminus \operatorname{Supp} B).$
- (4) (V, D) is almost minimal.

See [15, Theorem 2.3.11.1 (p. 107)], which is the same as [16, Theorem 1.11], for its proof. We call the pair  $(W, \Delta)$  an *almost minimal model* of (V, D).

We recall the following result on the almost minimal SNC-pairs of  $\overline{\kappa} = -\infty$ .

**Lemma 2.5.** Let (V, D) be an almost minimal SNC-pair of  $\overline{\kappa}(V \setminus \text{Supp } D) = -\infty$ and assume further that D is SNC-minimal. Let  $\pi : V \to \overline{V}$  be the contraction of Supp(Bk(D)) to normal points and set  $\overline{D} := \pi_*(D)$ . (Here we note that  $\overline{V}$  has only KLT singular points.) Then one of the following cases takes place.

- (A) There exists a  $\mathbb{P}^1$ -fibration  $h: \overline{V} \to C$  onto a smooth projective curve C such that every fiber of h is irreducible and  $\overline{D}F \leq 1$  for a fiber F of h.
- (B)  $\rho(\overline{V}) = 1$  and  $-(K_{\overline{V}} + \overline{D})$  is an ample  $\mathbb{Q}$ -Cartier divisor.

*Proof.* See [15, Lemmas 2.3.14.3 and 2.3.14.4 (pp. 113–114)], which is the same as [16, Lemmas 2.7 and 2.8].  $\Box$ 

## 3. Proof of Theorem 1.1

In this section, we prove Theorem 1.1. Let  $X, \pi: V \to X, D$  and C be the same as in Theorem 1.1. Let  $P_1, \ldots, P_\ell$  ( $\ell = \# \operatorname{Sing} X$ ) be all singular points of X and  $D = \sum_{k=1}^{\ell} D^{(k)}$  the decomposition of D into connected components such that  $D^{(k)} = \pi^{-1}(P_k)$  ( $k = 1, \ldots, \ell$ ) as a reduced divisor. We may assume that  $CD = CD^{(1)} = 1$ . Set  $S := X \setminus \pi(C)$ .

**Lemma 3.1.** With the same notations and assumptions as above, the following assertions hold true.

- (1)  $\overline{\kappa}(V \setminus \operatorname{Supp}(C+D)) (= \overline{\kappa}(S \setminus \operatorname{Sing} S)) = -\infty.$
- (2) S is a Q-homology plane, i.e., it is a normal affine surface with Betti numbers of the affine plane  $\mathbb{A}^2_{\mathbb{C}}$ .

*Proof.* By [9, Lemma 2],  $\overline{\kappa}(V \setminus \text{Supp } D) = -\infty$ . Since CD = 1, we have

$$\overline{\kappa}(V \setminus \operatorname{Supp}(C+D)) = \overline{\kappa}(V \setminus \operatorname{Supp} D) = -\infty,$$

which proves the assertion (1). The assertion (2) follows from [12, Lemma 3.7].

We consider the case where  $P_1$  is a non-KLT singular point. Then the weighted dual graph of  $D^{(1)}$  is given as one of the dual graphs (6)–(8) in [1, p. 58]. Then we have the following lemma.

**Lemma 3.2.** Assume that  $P_1$  is a non-KLT singular point. Then there exists a birational morphism  $\mu : \tilde{V} \to V$  from a smooth projective surface  $\tilde{V}$  such that the following conditions (1) and (2) are satisfied:

- (1)  $\mu$  is a composite of blowing-ups at a point on  $\text{Supp}(C + D^{(1)})$  and its infinitely near points.
- (2) There exists a  $\mathbb{P}^1$ -fibration  $\Phi : \tilde{V} \to \mathbb{P}^1$  such that  $F\mu^*(C+D)_{red} = 1$  for a fiber F of  $\Phi$ .

In particular,  $V \setminus \text{Supp}(C + D)$  is affine ruled and  $P_2, \ldots, P_\ell$  are cyclic quotient singular points.

Proof. The existence of  $\mu : \tilde{V} \to V$  satisfying the conditions (1) and (2) follows from [9, Lemma 4]. Here, the curve E in [9, Lemma 4] is a (-1)-curve on V and  $ED = ED^{(1)} = 1$  with the notations in [9]. In fact, the curve E satisfies the conditions which are  $E(K_V + D^{\#}) < 0$  and the intersection matrix of E + Bk(D)is negative definite. However, the proof of [9, Lemma 4] does not use the latter condition.

As seen from the conditions (1) and (2), we easily see that  $V \setminus \text{Supp}(C + D)$  is affine ruled. The last assertion then follows from [13, Theorem 1].

From now on, we consider the case where  $P_1$  is a KLT singular point. We note that the intersection matrix of  $C + D^{(1)}$  is neither negative definite nor negative semi-definite because  $C + D^{(1)}$  supports a big divisor. We prove the following lemma.

**Lemma 3.3.** Suppose that  $P_1$  is a KLT singular point. Then X is a log del Pezzo surface of rank one, i.e., every singular point on X is a KLT singular point.

*Proof.* Let  $f: V \to W$  be the contraction of C and all subsequently (smoothly) contractible curves in  $\operatorname{Supp}(D^{(1)})$  such that  $f_*(C+D^{(1)})(=f_*(D^{(1)}))$  is an SNC-divisor and  $E'(f_*(C+D^{(1)})-E') \geq 3$  for any (-1)-curve  $E' \subset \operatorname{Supp}(f_*(C+D^{(1)}))$ 

(i.e.,  $f_*(C + D^{(1)})$  is SNC-minimal). Since the weighted dual graph of  $C + D^{(1)}$  is a tree (i.e.,  $C + D^{(1)}$  is a connected tree of  $\mathbb{P}^1$ 's), such a birational morphism f exists. Set  $\Delta := f_*(D)$  and  $\Delta^{(i)} := f_*(D^{(i)})$  for  $i = 2, \ldots, \ell$ . Then  $W \setminus \operatorname{Supp} \Delta = V \setminus \operatorname{Supp}(C + D)$ . So  $\#\Delta = \rho(W)$  and  $\overline{\kappa}(V \setminus \operatorname{Supp} \Delta) = \overline{\kappa}(V \setminus \operatorname{Supp}(C + D)) = -\infty$ .

Suppose to the contrary that X has a non-KLT singular point. We may assume that  $\ell \geq 2$  and  $P_2$  is a non-KLT singular point.

Claim 1. The SNC-pair  $(W, \Delta)$  is almost minimal.

*Proof.* Suppose to the contrary that  $(W, \Delta)$  is not almost minimal. Then there exists an irreducible curve, say  $\tilde{E}$ , on W such that  $\tilde{E}(K_W + \Delta^{\#}) < 0$  and the intersection matrix of  $\tilde{E} + \text{Bk}(\Delta)$  is negative definite. Since  $S = X \setminus \pi(C)$ , which can be constructed by contracting  $\Delta^{(2)}, \ldots, \Delta^{(\ell)}$  from  $W \setminus \text{Supp}(\Delta^{(1)})$ , is a normal affine surface, we have  $\tilde{E}\Delta^{(1)} > 0$ . By [15, Lemmas 2.3.6.3 and 2.3.8.4 (p. 96, p. 102)] (that is the same as [16, Lemmas 1.6.2 and 1.8.3]), we know that:

- (a)  $\tilde{E}\Delta \leq 2$ .
- (b)  $\tilde{E}\Delta^{(\overline{1})} = 1$ . In particular,  $\tilde{E}$  meets an admissible rational maximal twig, say T, in  $\Delta^{(1)}$ .
- (c) If  $\tilde{E}\Delta = 2$ , then the connected component  $\Delta^{(j)}$  of  $\Delta \Delta^{(1)}$  meeting  $\tilde{E}$  is an admissible rational rod, i.e.,  $P_j$  is a cyclic quotient singular point, and  $\tilde{E} + T + \Delta^{(j)}$  can be contracted to either an admissible rational rod or a smooth point.
- (d)  $\overline{\kappa}(W \setminus \operatorname{Supp}(\tilde{E} + \Delta)) = \overline{\kappa}(W \setminus \operatorname{Supp}\Delta) = -\infty.$

By (d) and  $\#(\tilde{E} + \Delta) = 1 + \rho(W)$ , we infer from [8, Lemma 2.8] that the surface  $W \setminus \text{Supp}(\tilde{E} + \Delta)$  is affine ruled. Hence  $S \setminus \text{Sing } S$  is affine ruled, too. By [13, Theorem 1], every singular point of S is a cyclic quotient singular point. However, this is a contradiction because  $\text{Sing } S = \{P_2, \ldots, P_\ell\}$  and  $P_2$  is not a KLT singular point.

We set  $\Delta^{\#} = \sum_{k=1}^{\ell} \Delta^{(k)\#}$ . Since  $\Delta^{(2)}$  can be contracted to the non-KLT singular point  $P_2$ ,  $\lfloor \Delta^{(2)\#} \rfloor \neq 0$ . Further, since the intersection matrix of  $\Delta^{(1)}$  is not negative definite, we have  $\lfloor \Delta^{(1)\#} \rfloor \neq 0$ . So  $\lfloor \Delta^{(1)\#} \rfloor$  and  $\lfloor \Delta^{(2)\#} \rfloor$  are contained in  $\operatorname{Supp}(\lfloor \Delta^{\#} \rfloor)$ .

Let  $\pi' : W \to \overline{W}$  be the contraction of  $\operatorname{Supp}(\operatorname{Bk}(\Delta)) = \operatorname{Supp}(\Delta - \lfloor \Delta^{\#} \rfloor)$ . Then  $\overline{W}$  is a normal projective surface with only KLT singular points. By Lemma 2.5, one of the following cases (A) and (B) takes place.

- (A) There exists a  $\mathbb{P}^1$ -fibration  $\Phi : \overline{W} \to \mathbb{P}^1$  onto  $\mathbb{P}^1$  such that every fiber of  $\Phi$  is irreducible and  $\pi'_*(\lfloor \Delta^{\#} \rfloor)F \leq 1$  for a fiber F of  $\Phi$ . In fact,  $\pi'_*(\lfloor \Delta^{\#} \rfloor)F = 1$  since the intersection matrix of  $\Delta$  is neither negative definite nor negative semi-definite.
- (B)  $\rho(\overline{W}) = 1$  and  $-(K_{\overline{W}} + \pi'_*(\lfloor \Delta^{\#} \rfloor))$  is an ample Q-Cartier divisor.

If the case (A) takes place, then  $W \setminus \text{Supp }\Delta$  is affine ruled. However, by using the argument as in the last paragraph of the proof of Claim 1, we derive a contradiction.

Suppose that the case (B) takes place. We may assume further that  $U := W \setminus \text{Supp } \Delta$  is not affine ruled.

Claim 2. The surface U has a structure of platonic  $\mathbb{A}^1_*$ -fiber space over  $\mathbb{P}^1$ , where  $\mathbb{A}^1_* = \mathbb{A}^1_{\mathbb{C}} \setminus \{0\}$ . More precisely, there exists a surjective morphism  $g : U \to \mathbb{P}^1$  from U onto  $\mathbb{P}^1$  such that the following conditions are satisfied:

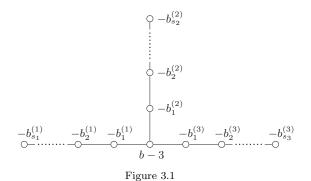
- (i) g has no singular fibers except for three multiple fibers  $F_i = \mu_i G_i$ , i = 1, 2, 3, such that  $G_i \cong \mathbb{A}^1_*$  and that  $\{\mu_1, \mu_2, \mu_3\} = \{2, 2, m\} \ (m \ge 2), \{2, 3, 3\}, \{2, 3, 4\} \text{ or } \{2, 3, 5\}.$
- (ii) There exist an SNC-pair  $(\overline{U}, B)$  and a  $\mathbb{P}^1$ -fibration  $\overline{g} : \overline{U} \to \mathbb{P}^1$  such that: (a)  $\overline{U} \setminus \text{Supp } B = U$ .
  - (b) *B* contains two irreducible components  $B_1$  and  $B_2$  that are sections of  $\overline{g}$  with  $B_1 \cap B_2 = \emptyset$ , and the other irreducible components of *B* are contained in fibers of  $\overline{g}$ .
  - (c) Every fiber of  $\overline{g}$  has a linear chain as its weighted dual graph and contains a unique (-1)-curve if the fiber is reducible.

*Proof.* Since  $\lfloor \Delta^{\#} \rfloor \neq 0$  and  $(W, \Delta)$  is not affine ruled, we infer from Claim 1 and [15, Theorem 2.5.1.2 (p. 143)] (that is the same as [17, Main Theorem]) that U has a structure of platonic  $\mathbb{A}^1_*$ -fiber space over  $\mathbb{P}^1$ . The other assertion follows from the definition of a platonic  $\mathbb{A}^1_*$ -fiber space over  $\mathbb{P}^1$ .

We can determine the weighted dual graph of B. For more details, see [14, Section 2] (see also [7, pp. 37–38]). We may assume that  $B_2^2 = -b \leq -2$  by interchanging the role of  $B_1$  and  $B_2$ . Then B consists of two connected components, say  $B^{(1)}$  and  $B^{(2)}$ , containing  $B_1$  and  $B_2$ , respectively. Furthermore, the weighted dual graph of  $B^{(1)}$  looks like that in Figure 3.1 and that  $B^{(2)}$  is an admissible rational fork. In Figure 3.1,  $b_i^{(j)} \geq 2$  for  $i = 1, \ldots, s_j$  and j = 1, 2, 3. (In fact, we can determine the weighted dual graph of B more precisely. However, we do not need the precise result.)

We easily see that  $\operatorname{Supp} B$  contains no irreducible components B' with  $B'^2 \geq 0$  and  $B'(B-B') \leq 2$ . Since the divisor  $\Delta$  satisfies the same conditions as B, we know that the pair  $(\overline{U}, B)$  is isomorphic to  $(W, \Delta)$ . Namely, there exists an isomorphism  $\Psi : \overline{U} \to W$  whose restriction on B gives rise to an isomorphism between B and  $\Delta$ . Since  $\Delta^{(1)}$  supports a big divisor, the weighted dual graph of  $\Delta^{(1)}$  is the same as that of  $B^{(1)}$ . So  $\ell = 2$  and  $\Delta^{(2)}$  is an admissible rational fork. This is a contradiction because  $\Delta^{(2)}$  can be contracted to the non-KLT singular point  $P_2$ .

Therefore, every singular point of X is a KLT-singular point.



The assertion (2) of Theorem 1.1 is thus verified. The assertion (1) of Theorem 1.1 follows from the assertion (2) and [21, Theorem 6.1]. Here we note that, in [21, Section 6], the (-1)-curve C is an element of MV(V, D) and CD = 1, but the condition  $C \in MV(V, D)$  is not used in the proof of [21, Theorem 6.1].

The proof of Theorem 1.1 is thus completed.

Remark 3.1. We can prove Lemma 3.3 by using Palka's result on the classification of  $\mathbb{Q}$ -homology planes with non-KLT singular points in [19, Theorem 4.5] instead by using [15, Theorem 2.5.1.2 (p. 143)] (that is the same as [17, Main Theorem]).

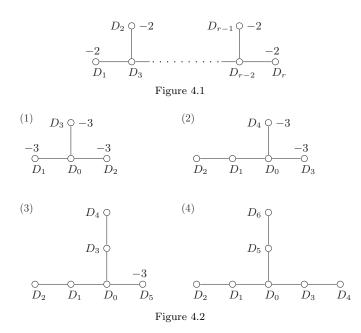
#### 4. Proof of Theorem 1.2

In this section, we prove Theorem 1.2. Let  $X, \pi, V, D$  and  $C \in MV(V, D)$  be the same as in Theorem 1.2. Let  $P_1, \ldots, P_\ell$  ( $\ell = \# \operatorname{Sing} X$ ) be all singular points of X and  $D = \sum_{k=1}^{\ell} D^{(k)}$  the decomposition of D into connected components such that  $D^{(k)} = \pi^{-1}(P_k)$  ( $k = 1, \ldots, \ell$ ) as a reduced divisor. We may assume that  $CD = CD^{(1)} = 1$ . Since X contains a non-KLT singular point, we infer from Lemma 3.3 that  $P_1$  is not a KLT singular point. Further, by [9, Theorem 1],  $P_2, \ldots, P_\ell$  are KLT singular points. The weighted dual graph of  $D^{(1)}$  is given as one of the graphs (6)–(8) in [1, p. 58].

#### 4.1

In this section, we consider the case where the weighted dual graph of  $D^{(1)}$  is given as one of (6) and (7) in [1, p. 58]. Let  $D^{(1)} = \sum_{i=1}^{r} D_i$  be the decomposition of  $D^{(1)}$  into irreducible components and set  $a_i := -D_i^2$  for  $i = 1, \ldots, r$ . In this case,  $r \geq 5$  and the weighted dual graph of  $D^{(1)}$  is given as in Figure 4.1.

Then  $D^{(1)\#} = \frac{1}{2}(D_1 + D_2 + D_{r-1} + D_r) + \sum_{i=3}^{r-2} D_i$  and  $\max\{a_3, \ldots, a_{r-2}\} \ge 3$ since the intersection matrix of  $D^{(1)}$  is negative definite. Since  $CD^{(1)} = 1$  and  $CD^{\#} < -CK_V = 1$ , we may assume that  $CD^{(1)} = CD_1 = 1$ . Then  $CD^{\#} = \frac{1}{2}$ . Since the intersection matrix of  $C + D^{(1)}$  (resp.  $D^{(1)}$ ) is not negative definite (resp. negative definite), we know that  $a_3 = a_4 = 2$  and  $r \ge 7$ . Then the divisor



 $F_0 := 2(C + D_1 + D_3) + D_2 + D_4$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_5$  becomes a section of  $\Phi$  and  $D - D_5$  is contained in fibers of  $\Phi$ . By Lemma 2.3, every fiber of  $\Phi$  consists only of (-1)-curves and (-2)-curves. By Lemma 2.2, we know that the weighted dual graph of every singular fiber of  $\Phi$  is given as one of (i) and (ii) in Figure 2.1. If  $\Phi$  has a singular fiber  $F_1$  whose weighted dual graph is given as (i) in Figure 2.1, then the (-1)-curve E in Supp  $F_1$  is an element of MV(V, D) and E meets at least two (-2)-curves in Supp D. So the pair (V, D) is of type (IIa), which is a contradiction. Hence, the weighted dual graph of every fiber of  $\Phi$  is given as (ii) in Figure 2.1.

We know that  $D = D^{(1)}$  is connected, which contradicts the hypothesis  $\# \operatorname{Sing} X \ge 2$ . Therefore, this case does not take place.

#### 4.2

In Sections 4.2–4.4, we consider the case where the weighted dual graph of  $D^{(1)}$  is given as (6) in [1, p. 58]. Then  $(\Delta_1, \Delta_2, \Delta_3) = (3, 3, 3), (2, 4, 4), (2, 3, 6)$  with the notations in [1, p. 58].

In this section, we consider the case  $(\Delta_1, \Delta_2, \Delta_3) = (3, 3, 3)$ . Then the weighted dual graph of  $D^{(1)}$  is given as one of (1)–(4) in Figure 4.2, where we omit the self-intersection number corresponding to a (-2)-curve and set  $a_0 = -D_0^2$ .

We consider the following four cases 1–4 separately.

**Case 1:** The weighted dual graph of  $D^{(1)}$  is given as (1) in Figure 4.2.

In this case,  $D^{(1)\#} = D_0 + \frac{2}{3}(D_1 + D_2 + D_3)$  and so  $CD^{(1)} = CD_i = 1$ 

for some  $i \in \{1, 2, 3\}$ . This is a contradiction because the intersection matrix of  $C + D^{(1)}$  is then negative definite. Therefore, this case does not take place.

**Case 2:** The weighted dual graph of  $D^{(1)}$  is given as (2) in Figure 4.2.

In this case,  $D^{(1)\#} = D_0 + \frac{2}{3}(D_1 + D_3 + D_4) + \frac{1}{3}D_2$ . Since  $CD^{(1)\#} < 1$ and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we know that  $CD^{(1)} = CD_1 = 1$  and  $a_0 = 2$ . Then the divisor  $F_0 = 2(C + D_1) + D_0 + D_2$ defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_3$  and  $D_4$  become sections of  $\Phi$  and  $D - (D_3 + D_4)$  is contained in fibers of  $\Phi$ . Let  $F_0, F_1, \ldots, F_r$  exhaust all singular fibers of  $\Phi$ , here we note that  $r \geq 1$  since  $\# \operatorname{Sing} X \geq 2$ . Then each  $\operatorname{Supp}(F_i)$   $(i = 1, \ldots, r)$  contains at least two (-1)-curves because the irreducible component of  $\operatorname{Supp}(F_i)$  meeting  $D_3$ , which is a section of  $\Phi$ , is a (-1)-curve. We infer from Lemmas 2.2 and 2.3 that the weighted dual graph of  $F_i$   $(i = 1, \ldots, r)$  is given as (iii) in Figure 2.1 and that r = 1. Write  $F_1 = E_1 + G_1 + \cdots + G_k + E_2$ , where  $E_1G_1 = G_1G_2 = \cdots = G_kE_2 = 1$ ,  $E_1$  and  $E_2$  are (-1)-curves and  $G_1, \ldots, G_k$  are (-2)-curves. By Lemma 2.3,  $E_1, E_2 \in \operatorname{MV}(V, D)$  and so  $E_1D^{(1)\#} = E_2D^{(1)\#} = CD^{\#} = \frac{2}{3}$ . Hence we may assume that  $E_1D_3 = E_2D_4 = 1$ . Then  $E_1D^{(1)} = E_1D_3 = 1$ ,  $E_2D^{(1)} = E_2D_4 = 1$ .

Let  $u : V \to \Sigma_3$  be the contraction of all (-1)-curves and consecutively (smoothly) contractible curves in fibers of  $\Phi$  except for those meeting  $D_3$ . Then we have

$$(3 =)u(D_4)^2 = -3 + 1 + k, \quad u(D_4)u(D_3) = 0.$$

So, k = 5. Hence the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (1) in Figure 5.1 (resp. Figure 5.2).

**Case 3:** The weighted dual graph of  $D^{(1)}$  is given as (3) in Figure 4.2.

In this case,  $D^{(1)\#} = D_0 + \frac{2}{3}(D_1 + D_3 + D_5) + \frac{1}{3}(D_2 + D_4)$ . Since  $CD^{(1)\#} < 1$ and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we may assume that  $CD^{(1)} = CD_1 = 1$  and  $a_0 = 2$ . Then the divisor  $F_0 = 2(C + D_1) + D_0 + D_2$ defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_3$  and  $D_5$  become sections of  $\Phi$  and  $D - (D_3 + D_5)$  is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D_4$ . By Lemmas 2.2 and 2.3, we know that:

- $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$ .
- The weighted dual graph of  $F_1$  is one of (i) and (iii) in Figure 2.1.

Since  $D_4$  is isolated in  $\operatorname{Supp}(D - (D_0 + D_1 + D_2 + D_3 + D_5))$ , we see that  $\#F_1 = 3$ . Let E be a (-1)-curve in  $\operatorname{Supp}(F_1)$ . Then  $ED_4 = 1$  and  $E \in \operatorname{MV}(V, D)$  by Lemma 2.3. Since  $ED^{(1)\#} < 1$  and the coefficient of  $D_5$  in  $D^{(1)\#}$  equals  $\frac{2}{3}$ ,  $ED_5 = 0$ . Hence we conclude that  $ED^{\#} = \frac{1}{3}ED_4 = \frac{1}{3}$ . This is a contradiction because  $E \in \operatorname{MV}(V, D)$  and  $CD^{\#} = CD^{(1)\#} = \frac{2}{3}CD_1 = \frac{2}{3}$ . Therefore, this case does not take place.

**Case 4:** The weighted dual graph of  $D^{(1)}$  is given as (4) in Figure 4.2.

In this case,  $D^{(1)\#} = D_0 + \frac{2}{3}(D_1 + D_3 + D_5) + \frac{1}{3}(D_2 + D_4 + D_6)$ . Since the intersection matrix of  $D^{(1)}$  is negative definite,  $a_0 = -D_0^2 \ge 3$ . Since  $CD^{(1)\#} < 1$  and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we may assume that  $CD^{(1)} = CD_1 = 1$  and  $a_0 = 3$ . Then the divisor  $F_0 := 4(C+D_1)+2(D_0+D_2)+D_3+D_5$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_4$  and  $D_6$  become sections of  $\Phi$  and  $D - (D_4 + D_6)$  is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D^{(2)}$ , which exists by  $\# \operatorname{Sing} X \ge 2$ . Then the curve  $E_1$  of  $\operatorname{Supp}(F_1)$  meeting  $D_4$  (that is a section of  $\Phi$ ) is a (-1)-curve. So there exists a (-1)-curve  $E_2 \ (\neq E_1)$  in  $\operatorname{Supp}(F_1)$ . By Lemma 2.2 (1), we know that  $E_1$  and  $E_2$  exhaust all (-1)-curves in  $\operatorname{Supp}(F_1)$  and that  $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$ .

Suppose that  $E_1$  meets  $D_6$ . Then

$$E_1 D^{\#} \ge E_1 D^{(1)\#} \ge \frac{1}{3} E_1 (D_4 + D_6) = \frac{2}{3} = C D^{\#}.$$

So  $E_1 \in MV(V, D)$  and  $E_1$  meets two (-2)-curves  $D_4$  and  $D_6$ . Hence (V, D) is of type (IIa), a contradiction. Therefore,  $E_1D_6 = 0$  and  $E_2D_6 = 1$ .

Set  $\#F_1 = 2 + m$ . Then  $m = \#(D - D^{(1)})$ . Let  $u: V \to \Sigma_2$  be the contraction of all (-1)-curves and consecutively (smoothly) contractible curves in fibers of  $\Phi$  except for those meeting  $D_4$ . Then  $u_*(F_0) = u(D_3)$  and  $u_*(F_1) = u(E_1)$ . Further,  $u(D_4)u(D_6) = 0$ . So  $2 = u(D_6)^2 = -2 + 1 + m + 1$  and hence m = 2.

Since  $\#F_1 = 4$ ,  $\operatorname{Supp}(F_1)$  contains two (-1)-curves  $E_1$  and  $E_2$  and the coefficients of  $E_1$  and  $E_2$  in  $F_1$  are equal to one, we know that  $\operatorname{Supp}(F_1)$  is a linear chain of four  $\mathbb{P}^1$ 's,  $E_1$  and  $E_2$  are end components of  $\operatorname{Supp}(F_1)$  and the other two irreducible components of  $\operatorname{Supp}(F_1)$  are (-2)-curves. Namely, the weighted dual graph of  $F_1$  is [-1, -2, -2, -1] (see Section 2.2 for this notion). Hence the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (2) in Figure 5.1 (resp. Figure 5.2).

#### 4.3

In this section, we consider the case  $(\Delta_1, \Delta_2, \Delta_3) = (2, 4, 4)$ . Then the weighted dual graph of  $D^{(1)}$  is given as one of (1)–(3) in Figure 4.3, where we omit the self-intersection number corresponding to a (-2)-curve and set  $a_0 = -D_0^2$ .

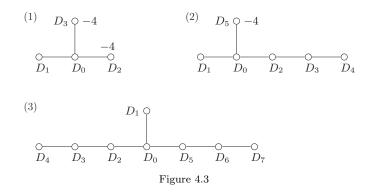
We consider the following three cases 1-3 separately.

**Case 1:** The weighted dual graph of  $D^{(1)}$  is given as (1) in Figure 4.3.

By using the same argument as in Case 1 in Section 4.2, we know that this case does not take place.

**Case 2:** The weighted dual graph of  $D^{(1)}$  is given as (2) in Figure 4.3.

In this case,  $D^{(1)\#} = D_0 + \frac{3}{4}(D_2 + D_5) + \frac{1}{2}(D_1 + D_3) + \frac{1}{4}D_4$ . Since  $CD^{(1)\#} < 1$ and the intersection matrix of  $C + D^{(1)}$  is neither negative definite nor negative semi-definite, we know that  $CD^{(1)} = CD_i = 1$  for some  $i \in \{2, 3\}$ . H. Kojima and T. Takahashi



Suppose that i = 3, i.e.,  $CD_3 = 1$ . Then the divisor  $F = 2(C+D_3)+D_2+D_4$ defines a  $\mathbb{P}^1$ -fibration  $\Phi_{|F|}: V \to \mathbb{P}^1$ . Then  $D_5$ , which is a (-4)-curve, becomes a fiber component of  $\Phi_{|F|}$ . This is a contradiction by Lemma 2.3. Hence, i = 2, i.e.,  $CD_2 = 1$ . Then  $a_0 \in \{2,3\}$  since the intersection matrix of  $C + D^{(1)}$  is neither negative definite nor negative semi-definite.

Suppose that  $a_0 = 2$ . Then the divisor  $F' := 2(C + D_2) + D_0 + D_3$  defines a  $\mathbb{P}^1$ -fibration  $\Phi' := \Phi_{|F'|} : V \to \mathbb{P}^1$ ,  $D_1$ ,  $D_4$  and  $D_5$  become sections of  $\Phi'$ and  $D - (D_1 + D_4 + D_5)$  is contained in fibers of  $\Phi'$ . Since  $\# \operatorname{Sing} X \ge 2$ ,  $\Phi'$ has a singular fiber  $F'_1 \neq F'$ . By Lemma 2.3, the weighted dual graph of  $F'_1$  is one of (i)–(iii) in Figure 2.1 and every (-1)-curve in  $\operatorname{Supp}(F'_1)$  is an element of  $\operatorname{MV}(V, D)$ . Since the irreducible component of  $\operatorname{Supp}(F'_1)$  meeting  $D_1$ , which is a section of  $\Phi'$ , is a (-1)-curve,  $\operatorname{Supp}(F'_1)$  has at least two (-1)-curves. So the weighted dual graph of  $F'_1$  is given as (iii) in Figure 2.1. Let  $E'_1$  and  $E'_2$  be the two (-1)-curves in  $\operatorname{Supp}(F'_1)$ . Then either  $E'_1$  or  $E'_2$  meets at least two of  $D_1$ ,  $D_4$ and  $D_5$ . We may assume that  $E'_1(D_1 + D_4 + D_5) \ge 2$ . Then

$$E'_1 D^{\#} \ge E'_1 D^{(1)\#} \ge \frac{1}{2} + \frac{1}{4} = \frac{3}{4} = CD^{\#}.$$

Then,  $E'_1 \in MV(V, D)$  and  $E'_1$  meets  $D_1$  and  $D_4$ , which are (-2)-curves. Hence (V, D) is of type (IIa), which is a contradiction. Therefore,  $a_0 = 3$ .

Then the divisor  $F_0 := 3(C + D_2) + 2D_3 + D_0 + D_4$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_1$  and  $D_5$  become sections of  $\Phi$  and  $D - (D_1 + D_5)$  is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D^{(2)}$ , which exists. Then  $F_1$  contains at least two (-1)-curves (see the preceding paragraph). By Lemma 2.2 (1), we know that  $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$  and  $\operatorname{Supp}(F_1)$  contains just two (-1)-curves, say  $E_1$  and  $E_2$ .

We may assume that  $E_1D_5 = 1$ . Then  $E_1D^{\#} \geq \frac{3}{4}E_1D_5 = \frac{3}{4} = CD^{\#}$ . So  $E_1 \in MV(V, D)$ . Then  $E_1D_1 = 0$  and  $E_2D_1 = 1$ . Since the coefficients of  $E_1$  and  $E_2$  in  $F_1$  are equal to one, we know that  $Supp((F_1)_{red} - (E_1 + E_2))$  is connected, namely,  $D^{(2)} = (F_1)_{red} - (E_1 + E_2)$ .

If  $D^{(2)}$  contains a curve of self-intersection number  $\leq -3$ , then the coefficient of every component of  $D^{(2)}$  in  $D^{(2)\#} > 0$  and so

$$E_1 D^{\#} = E_1 (D^{(1)\#} + D^{(2)\#}) > E_1 D^{(1)\#} = \frac{3}{4} = C D^{\#}.$$

This is a contradiction. Hence  $D^{(2)}$  consists only of (-2)-curves. By Lemma 2.2 (2), the weighted dual graph of  $F_1$  is given as (iii) in Figure 2.1. In particular,  $F_1 = E_1 + D^{(2)} + E_2$  is a linear chain and  $E_1$  and  $E_2$  are end components of  $\operatorname{Supp}(F_1)$ . By the same argument as in Case 2 in Section 4.2 (the last paragraph in Case 2 in Section 4.2), we know that the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (3) in Figure 5.1 (resp. Figure 5.2).

**Case 3:** The weighted dual graph of  $D^{(1)}$  is given as (3) in Figure 4.3.

In this case,  $D^{(1)\#} = D_0 + \frac{3}{4}(D_2 + D_5) + \frac{1}{2}(D_1 + D_3 + D_6) + \frac{1}{4}(D_4 + D_7)$ . Since the intersection matrix of  $D^{(1)}$  is negative definite,  $a_0 = -D_0^2 \ge 3$ . Since  $CD^{(1)\#} < 1$  and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we may assume that  $CD^{(1)} = CD_i = 1$  for some  $i \in \{2, 3\}$ . We consider the following two subcases 3-1 and 3-2 separately.

**Subcase 3-1:** i = 3, i.e.,  $CD_3 = 1$ . (The argument of this subcase is slightly different from that in the second paragraph in Case 2.) In this subcase, the divisor  $F_0 := 2(C + D_3) + D_2 + D_4$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_0$  becomes a section of  $\Phi$  and  $D - D_0$  is contained in fibers of  $\Phi$ . Let  $F_1$  (resp.  $F_2$ ) be the fiber of  $\Phi$  containing  $D_1$  (resp.  $D_5 + D_6 + D_7$ ), here we note that  $F_1 \neq F_2$ . By Lemmas 2.2 and 2.3, we know that:

- $F_0$ ,  $F_1$  and  $F_2$  exhaust all singular fibers of  $\Phi$ .
- For j = 1, 2, the weighted dual graph of  $F_j$  is given as one of (i) and (ii) in Figure 2.1 and the (-1)-curve in  $\text{Supp}(F_j)$  is an element of MV(V, D).

Since  $F_1$  contains  $D_1$ , we know that the weighted dual graph of  $F_1$  is given as (i) in Figure 2.1. So the (-1)-curve in  $\text{Supp}(F_1)$  is an element of MV(V, D) and meets at least two (-2)-curves, which imply that (V, D) is of type (IIa). This is a contradiction. Therefore, this subcase does not take place.

**Subcase 3-2:** i = 2, i.e.,  $CD_2 = 1$ . Since the intersection matrix of  $C + D^{(1)}$  is not negative definite,  $a_0 \in \{3, 4\}$ .

Suppose that  $a_0 = 3$ . Then the divisor  $F := 3(C + D_2) + 2D_3 + D_0 + D_4$ defines a  $\mathbb{P}^1$ -fibration  $\Psi := \Phi_{|F|} : V \to \mathbb{P}^1$ ,  $D_1$  and  $D_5$  become sections of  $\Psi$  and  $D - (D_1 + D_5)$  is contained in fibers of  $\Psi$ . Let G be the fiber of  $\Psi$  containing  $D_6 + D_7$ . Then the irreducible component of Supp G meeting  $D_1$  is a (-1)curve. Since  $D_1$  is a section of  $\Psi$ , Supp G contains at least two (-1)-curves. By Lemma 2.2 (1), we know that F and G exhaust all singular fibers of  $\Psi$  and that Supp G contains just two (-1)-curves, say E and E'. Since D is not connected,  $\operatorname{Supp}(D - D^{(1)})$  is contained in  $\operatorname{Supp} G$ . We may assume that E meets  $D_6 + D_7$ . Then  $E(D_6 + D_7) = 1$ . Since  $\operatorname{Supp}(E + E' + D_6 + D_7) \neq \operatorname{Supp}(G)$ , we know that  $E'(D_6 + D_7) = 0$ . If E meets  $D_1$ , then

$$ED^{\#} \ge ED^{(1)\#} \ge E\left(\frac{1}{2}(D_1 + D_6) + \frac{1}{4}D_7\right) \ge \frac{1}{2} + \frac{1}{4} = \frac{3}{4} = CD^{\#}.$$

So  $E \in MV(V, D)$  and E meets at least two (-2)-curves, which imply that (V, D) is of type (IIa), a contradiction. Hence,  $ED_1 = 0$ . We know that E meets a component, say D', in  $Supp(D - D^{(1)})$ . Since the intersection matrix of  $E + D_6 + D_7 + D'$  is negative definite,  $D'^2 \leq -4$ . However, by Lemma 2.4, this is a contradiction. Therefore,  $a_0 = 4$ .

Then the divisor  $F_0 := 6(C + D_2) + 4D_3 + 2(D_0 + D_4) + D_1 + D_5$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_6$  becomes a section of  $\Phi$  and  $D - D_6$  is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D_7$ . By Lemma 2.2 (1), we know that:

- $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$ .
- Supp $(F_1)$  contains a unique (-1)-curve, say  $E_1$ .

It is clear that  $E_1D_7 = 1$ . Since the coefficient of  $D_7$  in  $F_1$  is equal to one, we know that  $F_1 = 2E_1 + D_7 + D_8$ , where  $D_8$  is a (-2)-curve and is not a component of  $D^{(1)}$  and  $D_8E_1 = D_7E_1 = 1$ . So  $D = D^{(1)} + D^{(2)}$  and  $D^{(2)} = D_8$ . Therefore, the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (4) in Figure 5.1 (resp. Figure 5.2).

## **4.4**

We finally consider the case  $(\Delta_1, \Delta_2, \Delta_3) = (2, 3, 6)$ . Then the weighted dual graph of  $D^{(1)}$  is given as one of (1)–(4) in Figure 4.4, where we omit the self-intersection number corresponding to a (-2)-curve and set  $a_0 = -D_0^2$ .

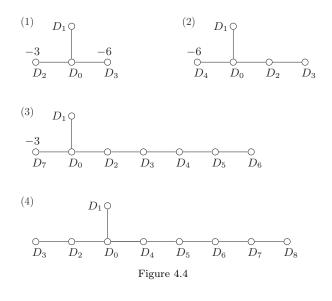
We consider the following four cases 1–4 separately.

**Case 1:** The weighted dual graph of  $D^{(1)}$  is given as (1) in Figure 4.4.

By using the same argument as in Case 1 in Section 4.2, we know that this case does not take place.

**Case 2:** The weighted dual graph of  $D^{(1)}$  is given as (2) in Figure 4.4.

In this case,  $D^{(1)\#} = D_0 + \frac{1}{2}D_1 + \frac{2}{3}D_2 + \frac{1}{3}D_3 + \frac{5}{6}D_4$ . Since  $CD^{(1)\#} < 1$ and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we know that  $CD^{(1)} = CD_2 = 1$  and  $a_0 = 2$ . Then the divisor  $F_0 := 2(C + D_2) + D_0 + D_3$ defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_1$  and  $D_4$  become sections of  $\Phi$  and  $D - (D_1 + D_4)$  is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D^{(2)}$ , which exists by  $\# \operatorname{Sing} X \ge 2$ . The irreducible component  $E_1$  of  $\operatorname{Supp}(F_1)$ meeting  $D_4$ , a section of  $\Phi$ , is a (-1)-curve. We have



$$E_1 D^{\#} \ge E_1 D^{(1)\#} \ge \frac{5}{6} E_1 D_4 = \frac{5}{6} > \frac{2}{3} = C D^{\#},$$

which is a contradiction. Therefore, this case does not take place.

**Case 3:** The weighted dual graph of  $D^{(1)}$  is given as (3) in Figure 4.4.

In this case,  $D^{(1)\#} = D_0 + \frac{5}{6}D_2 + \frac{2}{3}(D_3 + D_7) + \frac{1}{2}(D_1 + D_4) + \frac{1}{3}D_5 + \frac{1}{6}D_6$ . Since  $CD^{(1)\#} < 1$  and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we know that  $CD^{(1)} = CD_i = 1$  for some  $i \in \{1, 2, 3, 4, 5\}$ . We consider the following three subcases 3-1–3-3 separately.

**Subcase 3-1:**  $i \in \{3, 4, 5\}$ . (See the second paragraph in Case 2 in Section 4.3.) In this subcase, the divisor  $F_0 := 2(C + D_i) + D_{i-1} + D_{i+1}$  defines a  $\mathbb{P}^1$ -fibration  $\Phi_{|F_0|} : V \to \mathbb{P}^1$ . Then,  $D_7$ , which is a (-3)-curve, is a fiber component of  $\Phi_{|F_0|}$ . This is a contradiction by Lemma 2.3. Hence, this subcase does not take place.

**Subcase 3-2:** i = 1. Then  $a_0 = 2$  since the intersection matrix of  $C + D^{(1)}$  is not negative definite. So the divisor  $F_0 := 3(C + D_1 + D_0) + 2D_2 + D_3 + D_7$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_4$  becomes a section of  $\Phi$  and  $D - D_4$  is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D_5 + D_6$ . By Lemma 2.2 (1), we know that:

- $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$ .
- Supp $(F_1)$  contains a unique (-1)-curve, say  $E_1$ .

Since  $\ell = \# \operatorname{Sing} X \ge 2$ ,  $\operatorname{Supp}(F_1)$  consists of  $E_1$ ,  $D_5$ ,  $D_6$  and the components of  $D^{(2)} + \cdots + D^{(\ell)}$ . Since  $E_1(D_5 + D_6) = E_1(D^{(2)} + \cdots + D^{(\ell)}) = 1$  and  $E_1$  is the unique (-1)-curve in  $\operatorname{Supp}(F_1)$ , we have  $\ell = 2$ . It follows from Lemma 2.4 that  $D^{(2)}$  consists of (-2)-curves and at most one (-3)-curve. Since  $E_1 + D_5 + D_6 + D^{(2)}$ 

has negative semi-definite intersection matrix, we know that the irreducible component of  $D^{(2)}$  meeting  $E_1$  is a (-3)-curve. Further,  $D^{(2)}$  is an irreducible (-3)curve and  $D^{(2)\#} = \frac{1}{3}D^{(2)}$ . Since  $E_1D^{\#} = E_1D^{(1)\#} + \frac{1}{3} \leq CD^{\#} = \frac{1}{2}$ , we conclude that  $E_1D_6 = 1$ . Therefore, the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (5) in Figure 5.1 (resp. Figure 5.2).

**Subcase 3-3:** i = 2. Then  $a_0 \in \{2, 3, 4, 5\}$  since the intersection matrix of  $C + D^{(1)}$  is not negative definite. Let  $f : V \to W'$  be the contraction of  $C, D_2, D_3, D_4, D_5, D_6$ . Then  $f_*(C + D^{(1)}) = f(D_1) + f(D_0) + f(D_7)$  is a linear chain of three  $\mathbb{P}^1$ 's and has the weighted dual graph  $[-2, 5 - a_0, -3]$ , where  $f(D_1)^2 = -2$ ,  $f(D_0)^2 = 5 - a_0$  and  $f(D_7)^2 = -3$ .

Then we obtain a birational morphism  $g: \tilde{W} \to W'$  from a smooth projective surface  $\tilde{W}$  such that the following conditions are satisfied:

- g is a composite of blowing-ups at  $f(D_0) \cap f(D_7)$  and its infinitely near points.
- $g^{-1}(f_*(D^{(1)}))$  is a linear chain and its weighted dual graph is

$$\begin{cases} [-2, -1, -2, -1, -3, (-2)_{4-a_0}, -4] & (2 \le a_0 \le 4), \\ [-2, -1, -2, -1, -5] & (a_0 = 5), \end{cases}$$

where  $g'(f(D_1))$  is a (-2)-curve and is one of the end components of  $g^{-1}(f_*(D^{(1)}))$  and  $g'(f(D_0))$  is a (-1)-curve next to  $g'(f(D_1))$ . The subgraph  $[(-2)_{4-a_0}]$  means the weighted dual graph of the linear chain consisting of  $(4-a_0)$  vertices of weight (-2).

Let  $\tilde{E}$  be the (-2)-curve in  $\operatorname{Supp}(g^{-1}(f_*(D^{(1)}))$  that is next to  $g'(f(D_0))$  but not  $g'(f(D_1))$ . Let  $h := \tilde{W} \to W$  be the contraction of  $g'(f(D_0))$  and  $\tilde{E}$ . Then  $\Gamma^{(1)} := h_*(g^{-1}(f_*(D^{(1)})))$  is a linear chain whose weighted dual graph is

$$\begin{cases} [0, 0, -3, (-2)_{4-a_0}, -4] & (2 \le a_0 \le 4), \\ [0, 0, -5] & (a_0 = 5). \end{cases}$$

Let  $\Gamma^{(1)} = \Gamma_0 + \Gamma_1 + \cdots + \Gamma_{7-a_0}$  be a decomposition of  $\Gamma^{(1)}$  into irreducible components such that  $\Gamma_0 = h(g'(f(D_1))), \Gamma_0\Gamma_1 = \Gamma_1\Gamma_2 = \cdots = \Gamma_{6-a_0}\Gamma_{7-a_0} = 1.$ 

Set  $\phi := h \circ g^{-1} \circ f : V \cdots \to W$  and let  $\Gamma$  be the total transform of C + Dvia  $\phi$ . We note that all the components of  $D - D^{(1)}$  are not affected by the birational map  $\phi$  and  $\rho(W) = \#\Gamma = 8 - a_0 + \#(D - D^{(1)})$ . The divisor  $\Gamma_0$  defines a  $\mathbb{P}^1$ -fibration  $\Psi := \Phi_{|\Gamma_0|} : W \to \mathbb{P}^1$ ,  $\Gamma_1$  becomes a section of  $\Psi$  and  $\Gamma - \Gamma_1$  is contained in fibers of  $\Psi$ . Let  $G_1$  be the fiber of  $\Psi$  containing  $\Gamma_2 + \Gamma_3 + \cdots + \Gamma_{7-a_0}$ . We prove the following claim.

Claim. (1)  $G_1$  is the unique singular fiber of  $\Psi$ .

(2) Supp $(G_1)$  is a linear chain of  $\mathbb{P}^1$ 's and contains a unique (-1)-curve, say  $E_1$ .

*Proof.* Let  $G_1, \ldots, G_k$   $(k \ge 1)$  exhaust all singular fibers of  $\Psi$ . Each  $\text{Supp}(G_j)$   $(j = 1, \ldots, k)$  contains at least one (-1)-curve, which is not contained in the image of  $D - D^{(1)}$  via  $\phi$ . Since all components of  $\Gamma - \Gamma_0$  are fiber components of  $\Psi$ , we have

$$\rho(W) - 2 = \sum_{j=1}^{k} (\#G_j - 1) \ge \#(D - D^{(1)}) + (\#\Gamma^{(1)} - 2) = \rho(W) - 2$$

Hence, for each  $j = 1, \ldots, k$ , we know that:

- Supp $(G_j)$  contains a unique (-1)-curve, say  $E_j$ .
- The proper transform of  $E_j$  on V is not a component of D.
- The proper transform of every component of  $(G_j)_{red} E_i$  on V is a component of D.

Suppose that  $k \ge 2$ . Then  $\text{Supp}(G_2)$  consists only of  $E_2$  and some components of the image of  $\text{Supp}(D - D^{(1)})$  by  $\phi$ . Then the component of  $\text{Supp}(G_2)$  meeting  $\Gamma_1$ , which is a section of  $\Psi$ , must be  $E_2$ . So  $\text{Supp}(G_2)$  contains at least two (-1)curves. This is a contradiction. Therefore, k = 1, which proves the assertion (1).

We prove the assertion (2). It is clear that  $E_1(\Gamma_2 + \Gamma_3 + \cdots + \Gamma_{7-a_0}) = 1$ . Let  $\mu : W \to Z$  be a successive contraction of  $E_1$  and consecutively (smoothly) contractible curves in  $\operatorname{Supp}(G_1)$  such that  $\mu(\Gamma_2)$  becomes a (-1)-curve. Since the coefficient of  $\Gamma_2$  in  $G_1$  is equal to one and  $\operatorname{Supp}(G_1)$  contains a unique (-1)-curve, it follows that  $\mu_*(G_1)$  consists only of two (-1)-curves. By considering the possibility of  $\mu$ , we obtain the assertion (2).

By virtue of Claim, we know that  $\# \operatorname{Sing} X = 2$ ,  $D^{(2)}$  is an admissible rational rod and its weighted dual graph is  $[-2, -2, a_0 - 7, -2]$ , which is the adjoint of the dual graph of  $\Gamma_2 + \Gamma_3 + \cdots + \Gamma_{7-a_0}$  (see [3, (3.9)] for its definition). Let  $D^{(2)} = D_8 + D_9 + D_{10} + D_{11}$  be the irreducible decomposition of  $D^{(2)}$  such that  $D_8^2 = D_9^2 = D_{11}^2 = -2$ ,  $D_{10}^2 = a_0 - 7$ ,  $D_8 D_9 = D_9 D_{10} = D_{10} D_{11} = 1$ . Let E be the proper transform of  $E_1$  on V. Then E is a (-1)-curve, ED = 2 and  $ED_7 = ED_8 = 1$ . By simple computation, we know that the coefficient  $\alpha_8$  of  $D_8$ in  $D^{\#}$  equals  $\frac{10-2a_0}{35-6a_0}$ . Since  $C \in \operatorname{MV}(V, D)$ ,

$$CD^{\#} = \frac{5}{6} \ge ED^{\#} \ge \frac{2}{3}ED_7 + \alpha_8ED_8 = \frac{2}{3} + \alpha_8.$$

Hence,  $a_0 = 5$ .

The divisor  $F_0 := 5(C + D_2) + 4D_3 + 3D_4 + 2D_5 + D_0 + D_6$  defines a  $\mathbb{P}^1$ fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_1$  and  $D_7$  become sections of  $\Phi$  and  $D - (D_1 + D_7)$ is contained in fibers of  $\Phi$ . By using the argument similar to that in Case 2 in Section 4.2 (see also Case 4 in Section 4.2 and Case 2 in Section 4.3), we know that the weighted dual graph of D (resp. the configuration of C + D and all the H. Kojima and T. Takahashi

singular fibers of  $\Phi$ ) is given as (6) in Figure 5.1 (resp. Figure 5.2).

**Case 4:** The weighted dual graph of  $D^{(1)}$  is given as (4) in Figure 4.4.

In this case,  $D^{(1)\#} = D_0 + \frac{5}{6}D_4 + \frac{2}{3}(D_2 + D_5) + \frac{1}{2}(D_1 + D_6) + \frac{1}{3}(D_3 + D_7) + \frac{1}{6}D_8$ and  $a_0 \geq 3$  since the intersection matrix of  $D^{(1)}$  is negative definite. Since  $CD^{(1)\#} < 1$  and the intersection matrix of  $C + D^{(1)}$  is not negative definite, we know that  $CD^{(1)} = CD_i = 1$  for some  $i \in \{2, 4, 5, 6, 7\}$ . We consider the following three subcases 4-1–4-3 separately.

Subcase 4-1:  $i \in \{5, 6, 7\}$ . In this subcase, the divisor  $F_0 := 2(C+D_i)+D_{i-1}+D_{i+1}$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ . Since  $a_0 \geq 3$ ,  $D_0$  is not a fiber component of  $\Phi$  by Lemma 2.3 (see Subcase 3-1 in Case 3). Hence i = 5,  $D_0$  and  $D_7$  become sections of  $\Phi$  and  $D - (D_0 + D_7)$  is contained in fibers of  $\Phi$ . Let  $F_1$  (resp.  $F_2$ ) be the fiber of  $\Phi$  containing  $D_1$  (resp.  $D_2 + D_3$ ), here  $F_1 \neq F_2$ . By Lemmas 2.2 and 2.3, we know that:

- $F_0$ ,  $F_1$  and  $F_2$  exhaust all singular fibers of  $\Phi$ .
- For j = 1, 2, the weighted dual graph of  $F_j$  is given as one of (i)–(iii) in Figure 2.1 and every (-1)-curve in  $\text{Supp}(F_j)$  is an element of MV(V, D).

Since  $F_1$  contains  $D_1$ , we know that the weighted dual graph of  $F_1$  is given as one of (i) and (iii) in Figure 2.1. If it is given as (iii) in Figure 2.1, then  $F_1 = E_1 + D_1 + E'_1$ , where  $E_1$  and  $E'_1$  are (-1)-curves and  $E_1D_1 = E'_1D_1 = 1$ . We may assume that  $E_1D_7 = 1$  since  $D_7$  is a section of  $\Phi$ . Then

$$E_1 D^{\#} \ge E_1 \left(\frac{1}{2}D_1 + \frac{1}{3}D_7\right) = \frac{5}{6} > \frac{2}{3} = CD^{\#},$$

which is a contradiction. So the weighted dual graph of  $F_1$  is given as (i) in Figure 2.1. In particular,  $\text{Supp}(F_1)$  has a unique (-1)-curve, say  $E_1$ . Since  $E_1$ has coefficient two in  $F_1$ ,  $E_1D_7 = 0$ . So  $\text{Supp}(F_1)$  contains  $D_8$ , in particular,  $F_1 = 2E_1 + D_1 + D_8$  and  $E_1D_1 = E_1D_8 = 1$ . Then

$$E_1 D^{\#} \ge E_1 \left(\frac{1}{2}D_1 + \frac{1}{6}D_8\right) = \frac{2}{3} = CD^{\#}.$$

So  $E_1 \in MV(V, D)$  and  $E_1$  meets two (-2)-curves  $D_1$  and  $D_8$ , which imply that (V, D) is of type (IIa). This is a contradiction. Therefore, this subcase does not take place.

**Subcase 4-2:** i = 2. Then  $a_0 = 3$  since the intersection matrix of  $C + D^{(1)}$  is not negative definite. So the divisor  $F_0 := 4(C + D_2) + 2(D_0 + D_3) + D_1 + D_4$  defines a  $\mathbb{P}^1$ -fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_5$  becomes a section of  $\Phi$  and  $D - D_5$  is contained in fibers of  $\Phi$ . By the same argument as in Subcase 3-2 in Case 3, we know that:

•  $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$ .

• Supp $(F_1)$  contains a unique (-1)-curve, say  $E_1$ .

Since  $\ell = \# \operatorname{Sing} X \ge 2$ ,  $\operatorname{Supp}(F_1)$  consists of  $E_1$ ,  $D_6$ ,  $D_7$ ,  $D_8$  and the components of  $D^{(2)} + \cdots + D^{(\ell)}$ . It is clear that  $\ell = 2$  and  $E_1(D_6 + D_7 + D_8) = ED^{(2)} = 1$ . Let  $D_j$  be the irreducible component of  $D^{(2)}$  meeting  $E_1$ . Since the intersection matrix of  $E_1 + D_6 + D_7 + D_8$  is negative definite, we know that  $E_1D_7 = 0$  and  $D_j^2 \le -4$ . Then the coefficient  $\alpha_j$  of  $D_j$  in  $D^{\#} \ge \frac{1}{2}$  and the equality holds if and only if  $D_j = D^{(2)}$  and  $D_j^2 = -4$ . Since  $CD^{\#} = \frac{2}{3}$  and  $CD^{\#} \ge E_1D^{\#}$ , we know that  $E_1D_8 = 1$  and  $D_j^2 = -4$ . So, we may set j = 9 and have  $F_1 = 4E_1 + 3D_8 + 2D_7 + D_6 + D_9$ . Therefore, we know that the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (7) in Figure 5.1 (resp. Figure 5.2).

**Subcase 4-3:** i = 4. Then  $a_0 \in \{3, 4, 5, 6\}$  since the intersection matrix of  $C + D^{(1)}$  is not negative definite. Suppose that  $a_0 = 6$ . Then the divisor  $F := 10(C+D_4) + 8D_5 + 6D_6 + 4D_7 + 2(D_0+D_8) + D_1 + D_2$  defines a  $\mathbb{P}^1$ -fibration  $\Phi_{|F|}: V \to \mathbb{P}^1$ ,  $D_3$  becomes a section of  $\Phi$  and  $D-D_3$  is contained in fibers of  $\Phi_{|F|}$ . Let F' be the singular fiber of  $\Phi_{|F|}$  containing  $D^{(2)}$ , which exists by # Sing  $X \ge 2$ . Then the irreducible component of Supp(F') meeting  $D_3$ , a section of  $\Phi_{|F|}$ , must be a (-1)-curve. So Supp(F') contains at least two (-1)-curves. This is a contradiction by Lemma 2.2 (1). Therefore,  $3 \le a_0 \le 5$ . Let  $f: V \to W'$  be the contraction of  $C, D_4, D_5, D_6, D_7, D_8$ . Then  $f_*(C+D^{(1)}) = f(D_1)+f(D_0)+f(D_2)+f(D_3)$  is a linear chain of four  $\mathbb{P}^1$ 's and has the weighted dual graph  $[-2, 5-a_0, -2, -2]$ , where  $f(D_1)^2 = f(D_2)^2 = f(D_3)^2 = -2$  and  $f(D_0)^2 = 5 - a_0$ .

Then we obtain a birational morphism  $g: \tilde{W} \to W'$  from a smooth projective surface  $\tilde{W}$  such that the following conditions are satisfied:

- g is a composite of blowing-ups at  $f(D_0) \cap f(D_2)$  and its infinitely near points.
- $g^{-1}(f_*(D^{(1)}))$  is a linear chain and its weighted dual graph is

$$\begin{cases} [-2, -1, -2, -1, -3, -2, -3, -2] & (a_0 = 3), \\ [-2, -1, -2, -1, -3, -3, -2] & (a_0 = 4), \\ [-2, -1, -2, -1, -4, -2] & (a_0 = 5), \end{cases}$$

where  $g'(f(D_1))$  is a (-2)-curve and is one of the end components of  $g^{-1}(f_*(D^{(1)}))$  and  $g'(f(D_0))$  is a (-1)-curve next to  $g'(f(D_1))$ .

Let  $\tilde{E}$  be the (-2)-curve in  $\operatorname{Supp}(g^{-1}(f_*(D^{(1)})))$  that is next to  $g'(f(D_0))$  but not  $g'(f(D_1))$ . Let  $h := \tilde{W} \to W$  be the contraction of  $g'(f(D_0))$  and  $\tilde{E}$ . Then  $\Gamma^{(1)} := h_*(g^{-1}(f_*(D^{(1)})))$  is a linear chain whose weighted dual graph is

$$\begin{cases} [0, 0, -3, -2, -3, -2] & (a_0 = 3), \\ [0, 0, -3, -3, -2] & (a_0 = 4), \\ [0, 0, -4, -2] & (a_0 = 5). \end{cases}$$

In particular,  $\#\Gamma^{(1)} = 9 - a_0$ . Let  $\Gamma^{(1)} = \Gamma_0 + \Gamma_1 + \cdots + \Gamma_{8-a_0}$  be a decomposition of  $\Gamma^{(1)}$  into irreducible components such that  $\Gamma_0 = h(g'(f(D_1))),$  $\Gamma_0\Gamma_1 = \Gamma_1\Gamma_2 = \cdots = \Gamma_{7-a_0}\Gamma_{8-a_0} = 1.$ 

Set  $\phi := h \circ g^{-1} \circ f : V \cdots \to W$  and let  $\Gamma$  be the total transform of C + Dvia  $\phi$ . We note that all the components of  $D - D^{(1)}$  are not affected by the birational map  $\phi$  and  $\rho(W) = \#\Gamma = 9 - a_0 + \#(D - D^{(1)})$ . The divisor  $\Gamma_0$  defines a  $\mathbb{P}^1$ -fibration  $\Psi := \Phi_{|\Gamma_0|} : W \to \mathbb{P}^1$ ,  $\Gamma_1$  becomes a section of  $\Psi$  and  $\Gamma - \Gamma_1$  is contained in fibers of  $\Psi$ . Let  $G_1$  be the fiber of  $\Psi$  containing  $\Gamma_2 + \Gamma_3 + \cdots + \Gamma_{8-a_0}$ . By using the same argument as in the proof of Claim in Subcase 3-3 in Case 3, we obtain the following claim.

- Claim. (1)  $G_1$  is the unique singular fiber of  $\Psi$ .
  - (2) Supp $(G_1)$  is a linear chain of  $\mathbb{P}^1$ 's and contains a unique (-1)-curve, say  $E_1$ .

By virtue of Claim, we know that  $\# \operatorname{Sing} X = 2$  and  $D^{(2)}$  is an admissible rational rod and its weighted dual graph is  $[-3, a_0 - 7, -2]$ , which is the adjoint of the dual graph of  $\Gamma_2 + \Gamma_3 + \cdots + \Gamma_{8-a_0}$ . Let  $D^{(2)} = D_9 + D_{10} + D_{11}$  be the irreducible decomposition of  $D^{(2)}$  such that  $D_9^2 = -3$ ,  $D_{10}^2 = a_0 - 7$ ,  $D_{11}^2 = -2$ ,  $D_9D_{10} = D_{10}D_{11} = 1$ . Let E be the proper transform of  $E_1$  on V. Then E is a (-1)-curve, ED = 2 and  $ED_3 = ED_9 = 1$ . By simple computation, we know that the coefficient  $\alpha_9$  of  $D_9$  in  $D^{\#}$  equals  $\frac{23-4a_0}{37-6a_0}$ . Since  $C \in \operatorname{MV}(V, D)$ ,

$$CD^{\#} = \frac{5}{6} \ge ED^{\#} \ge \frac{1}{3}ED_3 + \alpha_9ED_9 = \frac{1}{3} + \alpha_9.$$

Hence,  $a_0 = 5$ . Furthermore,  $ED_1 = 0$ .

The divisor  $F_0 := 5(C + D_4) + 4D_5 + 3D_6 + 2D_7 + D_0 + D_8$  defines a  $\mathbb{P}^1$ fibration  $\Phi := \Phi_{|F_0|} : V \to \mathbb{P}^1$ ,  $D_1$  and  $D_2$  become sections of  $\Phi$  and  $D - (D_1 + D_2)$ is contained in fibers of  $\Phi$ . Let  $F_1$  be the fiber of  $\Phi$  containing  $D_3$ . Then the component of  $\text{Supp}(F_1)$  meeting  $D_1$  is a (-1)-curve. So,  $\text{Supp}(F_1)$  contains at least two (-1)-curves. By Lemma 2.2, we know that:

- $F_0$  and  $F_1$  exhaust all singular fibers of  $\Phi$ .
- Supp $(F_1)$  contains just two (-1)-curves.

Hence,  $\operatorname{Supp}(F_1)$  contains E and  $D^{(2)} = D_9 + D_{10} + D_{11}$ . By using the similar argument to that in Case 2 in Section 4.2 (see also Case 4 in Section 4.2 and Case 2 in Section 4.3), we know that  $F_1 = 2E + D_9 + D_{10} + D_{11} + D_1 + E'$ ,  $E'D_{11} = 1$  and  $\operatorname{Supp}(F_1)$  is a linear chain. Therefore, the weighted dual graph of D (resp. the configuration of C + D and all the singular fibers of  $\Phi$ ) is given as (8) in Figure 5.1 (resp. Figure 5.2).

The proof of Theorem 1.2 is thus completed.

# 5. The dual graphs and the configurations in Theorem 1.2

In Figure 5.1, the numbers in brackets coincide with the classifying numbers

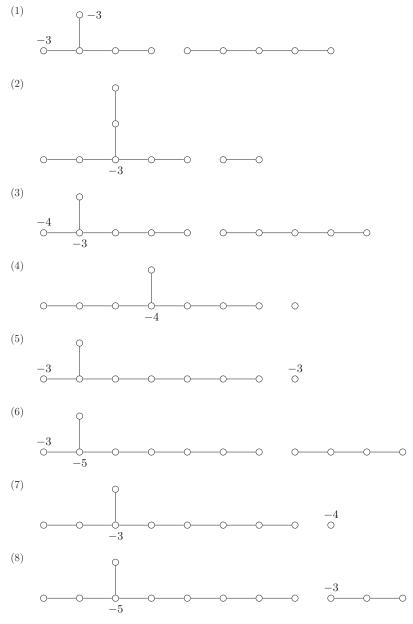
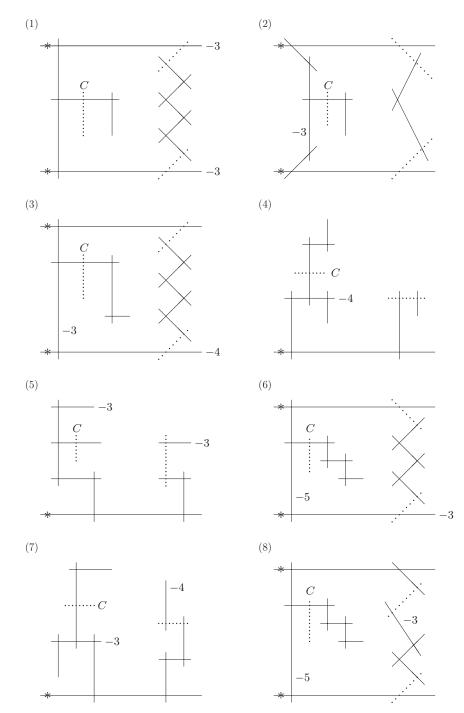


Figure 5.1





in Theorem 1.2; we omit the weight corresponding to a (-2)-curve.

In Figure 5.2, the numbers in brackets coincide with the classifying numbers in Theorem 1.2; a dotted line stands for a (-1)-curve; a solid line stands for a component of D; the self-intersection number of a (-2)-curve is omitted; a line with \* on it is a section of the vertical  $\mathbb{P}^1$ -fibration on V.

## References

- V. Alexeev, Classification of log canonical surface singularities: Arithmetical proof, Flips and abundance for algebraic threefolds, J. Kollár, et al., Astérisque, vol. 211 (Société Mathématique de France, 1992), pp. 47–58.
- M. Artin, Some numerical criteria for contractability of curves on algebraic surfaces, Amer. J. Math. 84 (1962), 485–496.
- [3] T. Fujita, On the topology of non-complete algebraic surfaces, J. Fac. Sci. Univ. Tokyo 29 (1982), 503–566.
- [4] H. Kojima, Logarithmic del Pezzo surfaces of rank one with unique singular points, Japan. J. Math. 25 (1999), 343–375.
- [5] H. Kojima, Supplement to "Normal del Pezzo surfaces of rank one with log canonical singularities" by H. Kojima and T. Takahashi [J. Algebra 360 (2012) 53-70], J. Algebra 377 (2013), 312–316.
- [6] H. Kojima, Normal log canonical del Pezzo surfaces of rank one with unique singular points, Nihonkai Math. J. 25 (2014), 105–118.
- [7] H. Kojima, Rational unicuspidal curves on Q-homology projective planes whose complements have logarithmic Kodaira dimension −∞, Nihonkai Math. J. 29 (2018), 29–43.
- [8] H. Kojima, Some results on open algebraic surfaces of logarithmic Kodaira dimension zero, J. Algebra 547 (2020), 238–261
- [9] H. Kojima, Singularities of normal log canonical del Pezzo surfaces of rank one, Polynomial rings and affine algebraic geometry, Springer Proc. Math. Stat., 79, Springer, Cham, 2020, pp. 199–208.
- [10] H. Kojima, Normal log canonical del Pezzo surfaces of rank one and of type (IIc), Nihonkai Math. J. 31 (2020), 59–73.
- [11] H. Kojima and T. Takahashi, Notes on minimal compactifications of the affine plane, Ann. Mat. Pura Appl. 188 (2009), 153–169.
- [12] H. Kojima and T. Takahashi, Normal del Pezzo surfaces of rank one with log canonical singular points, J. Algebra 360 (2012), 53–70.
- M. Miyanishi, Singularities of normal affine surfaces containing cylinderlike open sets, J. Algebra 68 (1981), 268–275.
- [14] M. Miyanishi, Normal affine subalgebras of a polynomial ring, Algebraic and topological theories – to the memory of Dr. Takehiko Miyata, Kinokuniya, Tokyo, 1985, pp. 37–51.
- [15] M. Miyanishi, Open algebraic surfaces, CRM Monograph Series 12, Amer. Math. Soc., Providence, RI, 2001.
- [16] M. Miyanishi and S. Tsunoda, Non-complete algebraic surfaces with logarithmic Kodaira dimension −∞ and with non-connected boundaries at infinity, Japan. J. Math. 10 (1984), 195–242.
- [17] M. Miyanishi and S. Tsunoda, Logarithmic del Pezzo surfaces of rank one with nonconnected boundaries at infinity, Japan. J. Math. 10 (1984), 271–319.
- [18] D. Mumford, The topology of normal surface singularities of an algebraic surface and a criterion for simplicity, Publ. IHES 9 (1961), 5–22.

H. Kojima and T. Takahashi

- [19] K. Palka, On the classification of singular Q-acyclic surfaces I. Structure and singularities, Israel J. Math. 195 (2013), 37–69.
- [20] F. Sakai, Weil divisors on normal surfaces, Duke Math. J. 51 (1984), 877-887.
- [21] D.-Q. Zhang, Logarithmic del Pezzo surfaces of rank one with contractible boundaries, Osaka J. Math. 25 (1988), 461–497.
- [22] D.-Q. Zhang, Algebraic surfaces with log canonical singularities and the fundamental groups of their smooth parts, Trans. Amer. Math. Soc. 348 (1996), 4175–4184.

Hideo Kojima Department of Mathematics, Faculty of Science, Niigata University, 8050 Ikarashininocho Nishi-ku, Niigata 950-2181, Japan. e-mail: kojima@math.sc.niigata-u.ac.jp

Takeshi Takahashi Education Center for Engineering and Technology, Faculty of Engineering, Niigata University, 8050 Ikarashininocho Nishi-ku, Niigata 950-2181, Japan. e-mail: takeshi@eng.niigata-u.ac.jp