

# CHARACTERIZATION OF V-SUFFICIENCY FROM THE NEWTON FILTRATION

OULD M ABDERRAHMANE

**Abstract-** The aim of this paper is to study the germ mappings  $:(\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^p, 0)$ , more precisely, we formulate certain criteria for the v-sufficiency related to the Newton polyhedron. Our result implies in particular the criteria for v-sufficiency due to Kuo and Paunescu.

Let  $\mathcal{E}_{[k]}(n, p)$  be the set of  $C^k$ -function germs  $:(\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^p, 0)$ . Given an element  $f$  of  $\mathcal{E}_{[k]}(n, p)$ , it is natural to ask when we can truncate  $f$  without effecting the local topological picture determined by  $f$ . This problem concerns the property of sufficiency of jet. In [8], T.-C. Kuo presented a beautiful criterion for v-sufficiency of jet, where necessary and sufficient conditions for v-sufficiency are given, a jet is v-sufficient if and only if some Lojasiewicz inequality is satisfied in the horn-neighborhood of the zero set. For the weighted filtration, L. Paunescu [9], extends the previous result. He obtained a weighted version of Kuo's criterion for v-sufficiency.

The purpose of this paper is to give a characterization of Kuo's v-sufficiency from the viewpoint of the Newton filtration. First, we define the compensation factors, following [1, 2], which compensate the effect of differentiation on the polyhedron and then reduce the problem to the procedure of Kuo-Paunescu. Namely, having defined the compensation factors, we construct a Riemannian metric associated with the filtration given by the Newton polyhedron. This allow us to consider the gradient associated with this metric and to show a Newton polyhedron analog of Kuo-Paunescu Theorems (Theorems 2 and 4 below). Moreover, we shall use Bekka's (c)-regularity in stratification theory to clarify the Lojasiewicz inequality relative to a Newton filtration (Theorem 6 below). This gives a generalization of Bekka-Koike's Theorem [4].

Let us denote by  $E(n, p)$  the set of all germs of functions  $:(\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^p, 0)$  which are  $C^2$  in a punctured neighborhood of the origin. Let  $f, g \in E(n, p)$ . These functions are said to have the same (local)  $v$ -type at 0 (where  $v$  stands for variety), if the germs at 0 of  $f^{-1}(0)$  and  $g^{-1}(0)$  are homeomorphic.

## 1. Newton filtration.

Let us recall some basic definitions and properties of the Newton filtration (see [1, 2, 6] for details).

The Newton polyhedron,  $\Gamma_+(\mathcal{A})$ , where  $\mathcal{A} \subset \mathbb{Q}_+^n$  is the convex hull of  $\{a + \mathbb{R}_+^n \mid a \in \mathcal{A}\}$ . The Newton boundary of  $\mathcal{A}$ ,  $\Gamma(\mathcal{A})$  is the union of the compact faces of  $\Gamma_+(\mathcal{A})$ . We let  $\mathcal{F}(\mathcal{A})$  denote the union of the top dimensional faces of  $\Gamma(\mathcal{A})$ . The Newton vertex  $Ver(\mathcal{A})$  is defined by  $\{\alpha : \alpha \text{ is vertex of } \Gamma(\mathcal{A})\}$ .  $\mathcal{A}$  is called convenient if the intersection of  $\Gamma_+(\mathcal{A})$  with each coordinate axis is non-empty. Throughout, we suppose that  $\mathcal{A}$  is convenient.

---

2000 *Mathematics Subject Classification.* Primary 14B05; Secondary, 58A35.

This research was supported by the Japan Society for the Promotion of Science.

From the Newton polyhedron, we construct the Newton filtration. We first observe that by the convenience assumption on  $\mathcal{A}$ , any face  $F \in \mathcal{F}(\mathcal{A})$ ,  $\dim F = n - 1$ . So let  $w^F$  be the unique vector of  $\mathbb{Q}_+^n$  such that  $F = \{b \in \Gamma_+(\mathcal{A}) : \langle b, w^F \rangle = 1\}$ . We can suppose that the vertices of  $\mathcal{A}$  are sufficiently close to the origin so that all the  $w^F \in \mathbb{Z}_+^n$ . We will suppose henceforth that  $\mathcal{A}$  satisfies this property. Then, we construct the following map  $\phi: \mathbb{R}_+^n \rightarrow \mathbb{R}_+$ . The restriction of  $\phi$  to each cone  $C(F)$  (where  $C(F)$  denotes the cone of half-rays emanating from 0 and passing through  $F$ ) is defined as follows:

$$\phi|_{C(F)}(\alpha) = \langle \alpha, w^F \rangle, \quad \text{for all } \alpha \in C(F).$$

We extend this map to  $\mathbb{R}_+^n$  as follows:

$$(1.1) \quad \phi(\alpha) = \min \{ \langle \alpha, w^F \rangle : F \in \mathcal{F}(\mathcal{A}) \}, \quad \text{for all } \alpha \in \mathbb{R}_+^n.$$

The map  $\phi$  is linear on each cone  $C(F)$  (where  $F \in \mathcal{F}(\mathcal{A})$ ), and the value of  $\phi$  along each point over  $\Gamma(\mathcal{A})$  is equal to 1 and  $\phi(\mathbb{Z}_+^n) \subset \mathbb{Z}_+$ . This is called the Newton filtration induced by  $\mathcal{A}$ .

Now we introduce the control functions associated to  $\mathcal{A}$  as follows:

$$(1.2) \quad \rho(x) = \left( \sum_{\alpha \in \text{Ver}(\mathcal{A})} x^{2p\alpha} \right)^{\frac{1}{2p}}$$

where  $p$  a positive integer. Moreover if  $p$  is big enough (it suffices, for example, that  $p\alpha \in \mathbb{Z}_+^n$ ).

**1.1. Compensation factor.** Let  $L_j$  denote the  $x_j$ -axis. We then put  $\alpha^j = L_j \cap \Gamma(\mathcal{A})$  for  $j = 1, \dots, n$  (the axial vertices of  $\Gamma(\mathcal{A})$ ). We define the weight of the variable  $x_i$ ,  $\mathcal{A}(i) = \mathcal{A}(x_i) = \max\{w_i^F : F \in \mathcal{F}(\mathcal{A})\}$ . We may introduce the compensation factors associated with  $\mathcal{A}$  as follows:

$$\rho_i(x) = \left( x_i^{\frac{2p}{\mathcal{A}(i)}} + \sum_{\alpha \in \text{Ver}(\mathcal{A}) \setminus \{\alpha^i\}} x^{2p\alpha} \right)^{\frac{\mathcal{A}(i)}{2p}}, \quad i = 1, \dots, n$$

(for more details about these see [1, 2]).

Now let us introduce a singular Riemannian metric on  $\mathbb{R}^n$  by the following bilinear form

$$(1.3) \quad \left\langle \rho_i \frac{\partial}{\partial x_i}, \rho_j \frac{\partial}{\partial x_j} \right\rangle = \delta_{i,j} := \begin{cases} 1 & \text{if } i = j \\ 0 & \text{if } i \neq j \end{cases}.$$

We will denote by  $\nabla_{\mathcal{A}}$ ,  $\| \cdot \|_{\mathcal{A}}$ , the corresponding gradient and norm associated with this Riemannian metric.

In order to state the version relative to the Newton filtration of the Kuo and Paunescu Theorems ([8, 9]) we need to introduce the Newton horn-neighborhood, of degree  $d$  and width  $c > 0$ , of a variety  $f^{-1}(0)$ ,  $f \in E(n, p)$ . This is by definition

$$H_d(f, c) = \{x \in \mathbb{R}^n : |f(x)| \leq c\rho^d\}.$$

**Definition 1.** We say that  $f, g \in E(n, p)$  are  $d$ -equivalent with respect to  $\mathcal{A}$  or simply  $d$ -equivalent, if there exist  $a > 0$  and a neighborhood  $U$  of the origin such that

$$(i) \quad |f_j(x) - g_j(x)| \leq a\rho^d, \quad 1 \leq j \leq p$$

$$(ii) \quad \left| \rho_i \left( \frac{\partial f_j}{\partial x_i}(x) - \frac{\partial g_j}{\partial x_i}(x) \right) \right| \leq a\rho^d, \quad 1 \leq j \leq p, 1 \leq i \leq n$$

(these  $f_j, g_j$  are the components of  $f$  and  $g$  respectively).

It is easy to see that this is an equivalence relation.

## 2. The results.

We call a given germ  $f \in E(n, p)$   $v^{\mathcal{A}}$ -sufficient at degree  $d$  (where “ $v$ ” stands for variety and  $\mathcal{A}$  stands for the Newton filtration associated with  $\mathcal{A}$ ), or simply  $d$ -sufficient if for any  $P \in E(n, p)$  such that  $f$  and  $f + P$  are  $d$ -equivalent then  $f$  and  $f + P$  have the same  $v$ -type at 0. We first remark that if  $f$  is  $d$ -sufficient then  $f$  is  $d_1$ -sufficient for any  $d_1 \geq d$ .

These are clearly version relative to the Newton filtration of the corresponding weighted or homogeneous cases (see for instance [8, 9]). For any  $f \in E(n, p)$ , let  $V_x$  be the subspace spanned by the  $\{\nabla_{\mathcal{A}}f_1(x), \dots, \nabla_{\mathcal{A}}f_p(x)\}$ . Let us consider now  $N(f_j, \mathcal{A}, x)$ , or simply  $N(f_j, x)$ , to be the vector  $\nabla_{\mathcal{A}}f_j(x) - p_j(x)$ ,  $1 \leq j \leq p$ , where  $p_j(x)$  is the projection of  $\nabla_{\mathcal{A}}f_j(x)$ , with respect to our metric, to the subspace  $V_{x,j}$  spanned by the  $\nabla_{\mathcal{A}}f_k(x)$ ,  $k \neq j$ . Hence,  $\|N(f_j, x)\|_{\mathcal{A}} = \{\text{distance of } \nabla_{\mathcal{A}}f_j(x) \text{ to } V_{x,j}\}$ . Finally, we will denote by  $d_{\mathcal{A}}(\nabla_{\mathcal{A}}f_1(x), \dots, \nabla_{\mathcal{A}}f_p(x))$  the minimum of  $\|N(f_j, x)\|_{\mathcal{A}}$ ,  $1 \leq j \leq p$ .

Now using the above construction, we can announce our results.

**Theorem 2.** *If for any  $g \in E(n, p)$   $d$ -equivalent to  $f$ , there are positive numbers  $c, \epsilon, \delta$ , and a neighborhood  $U$  of 0, all depending on  $g$ , such that the following Łojasiewicz inequality related to the Newton polyhedron*

$$(2.1) \quad d_{\mathcal{A}}(\nabla_{\mathcal{A}}f_1(x), \dots, \nabla_{\mathcal{A}}f_p(x)) \geq \epsilon \rho^{d-\delta}$$

*holds for  $x \in H_d(f, c) \cap U$ , then  $f$  is  $d$ -sufficient.*

**Corollary 3.** *A sufficient condition for  $f \in E(n, p)$  to be  $d$ -sufficient is that there exist  $\epsilon > 0$ ,  $c > 0$  and  $\delta > 0$  for which*

$$d_{\mathcal{A}}(\nabla_{\mathcal{A}}f_1(x), \dots, \nabla_{\mathcal{A}}f_p(x)) \geq \epsilon \rho^{d-\delta}$$

*is satisfied for all  $x$  in  $H_{d-\delta}(f, c)$ , near 0.*

This corollary follows from the observation that for any  $g \in E(n, p)$  with  $g$   $d$ -equivalent to  $f$ , we have  $H_d(g, c) \subset H_{d-\delta}(f, c)$  in a sufficiently small neighborhood of 0.

In the case when  $f \in \mathcal{E}_{[w]}(n, p)$  (i.e.,  $f$  is analytic) we have the following theorem.

**Theorem 4.** *For a given  $f \in \mathcal{E}_{[w]}(n, p)$ , and  $d \geq 3 \max\{\mathcal{A}(1), \dots, \mathcal{A}(n)\}$ , the following are equivalent:*

- (i)  $f$  is  $d$ -sufficient.
- (ii) The hypothesis of Theorem 2 hold.
- (iii) for any  $g \in E(n, p)$ ,  $g$   $d$ -equivalent to  $f$ , the variety  $g^{-1}(0)$  admits 0 as a topologically isolated singularity ( $\nabla g_j(x)$ ,  $1 \leq j \leq p$ ,  $x \in g^{-1}(0)$ , are linearly independent near 0,  $x \neq 0$ ).

**Remark 5.** *We should note that in the case where  $\#\mathcal{F}(\mathcal{A}) = 1$  i.e., weighted filtration associated with  $w = (\mathcal{A}(1), \dots, \mathcal{A}(n))$ , we find that  $\rho_i(x) = \rho^{\mathcal{A}(i)}(x)$  for  $i = 1, \dots, n$ , hence our Theorems 2 and 4 reduce to Paunescu's Theorems (see [9], Theorem A and B).*

Another characterization of the  $v$ -sufficiency is given by the following theorem.

**Theorem 6.** *Suppose  $f \in \mathcal{E}_{[w]}(n, p)$  which satisfy the hypothesis of Theorem 2, then for any  $g \in \mathcal{E}_{[w]}(n, p)$ ,  $g$  is  $d$ -equivalent to  $f$ , the deformation variety*

$$F(x, t) \equiv f(x) + t[f(x) + g(x)] = 0$$

where  $x \in \mathbb{R}^n$ ,  $t \in \mathbb{R}$ , is  $(c)$ -regular over the  $t$ -axis in the sense introduced by Bekka (see [3]).

**Remark 7.** We should note that in the usual filtration, i.e.,  $\#\mathcal{F}(\mathcal{A}) = 1$  and  $w^F = (1, \dots, 1)$ , Kuo [8] proves that the Lojasiewicz inequality in Theorem 2 implies that the stratification  $\Sigma(V_F)$  is Whitney  $(b)$ -regular. Here,  $V_F$  denotes the variety of the zero locus of  $F$ , and  $\Sigma(V_F) = \{F^{-1}(0) - \{0\} \times \mathbb{R}, \{0\} \times \mathbb{R}\}$ . Furthermore, if we suppose that  $f, g \in \mathcal{E}_{[d]}(n, p)$ , Bekka and Koike [4] prove that the stratification  $\Sigma(V_F)$  is  $(c)$ -regular.

We can also prove a component-wise variant of our Theorem 2. We will do this considering instead of the positive number  $d$ , a positive  $p$ -tuple  $\underline{d} = (d_1, \dots, d_p)$ . We say that  $f, g \in E(n, p)$  are  $\underline{d}$ -equivalent if there exists a neighborhood  $U$  of the origin such that

$$(i) \quad f_j(x) - g_j(x) = o(\rho^{d_j}),$$

$$(ii) \quad \|\nabla_{\mathcal{A}} f_j - \nabla_{\mathcal{A}} g_j\|_{\mathcal{A}} = o(\rho_j^{d_j}), \quad 1 \leq j \leq p, \quad x \in U.$$

Also we can introduce the corresponding horn-neighborhood  $H_{\underline{d}}(f, c) = \{x \in \mathbb{R}^n : |f_j(x)| \leq c \rho^{d_j}\}$ , and the corresponding notion of  $\underline{d}$ -sufficiency. Then, we can state the following theorem.

**Theorem 8.** Suppose  $f \in E(n, p)$  such that there exist positive numbers  $\epsilon, c$  and

$$\|N(f_j, x)\|_{\mathcal{A}} \geq \epsilon \rho^{d_j}, \quad j = 1, \dots, p, \quad x \in H_{\underline{d}}(f, c).$$

Then  $f$  is  $\underline{d}$ -sufficient.

The proof is similar to the proof of Theorem 2 and it will be omitted.

**Example 9.** Consider the map  $f: (\mathbb{R}^2, 0) \rightarrow (\mathbb{R}^2, 0)$  defined by

$$f(x, y) = (xy, x^{10} - x^2 y^4 - y^{10}).$$

We set  $\mathcal{A} = \{(1, 0), (\frac{1}{5}, \frac{2}{5}), (0, 1)\}$ , it not hard to see the following inequalities :

$$\begin{aligned} \|N(f_1, x)\|_{\mathcal{A}}^2 &= \frac{\rho_1^2 \rho_2^2 \left| 10x^9 - 2xy^4 - 4x^2 y^3 - 10y^9 \right|^2}{\|\nabla_{\mathcal{A}} f_2\|_{\mathcal{A}}^2} \geq \epsilon \rho^{10}, \\ \|N(f_2, x)\|_{\mathcal{A}}^2 &= \frac{\rho_1^2 \rho_2^2 \left| 10x^9 - 2xy^4 - 4x^2 y^3 - 10y^9 \right|^2}{\|\nabla_{\mathcal{A}} f_1\|_{\mathcal{A}}^2} \geq \epsilon \rho^{20}. \end{aligned}$$

Therefore, we may conclude that  $f$  is  $(5, 10)$ -sufficient with respect to this Newton filtration induced by  $\mathcal{A}$ .

**Example 10.** Let  $f: (\mathbb{R}^3, 0) \rightarrow (\mathbb{R}^2, 0)$  be the map defined by

$$f(x, y, z) = (xy, x^6 + xz + y^2 + z^2).$$

We let  $\mathcal{A} = \{(1, 0, 0), (\frac{1}{6}, 0, \frac{1}{6}), (0, \frac{1}{3}, 0), (0, 0, \frac{1}{3})\}$ . By standard argument, based on the curve selection lemma, we can see that

$$d_{\mathcal{A}}(\nabla_{\mathcal{A}} f_1(x), \nabla_{\mathcal{A}} f_2(x)) \geq \epsilon \rho^6, \quad x \in H_6(f, c),$$

where  $\epsilon$  and  $c$  are sufficiently small. Therefore,  $f$  is  $(6, 6)$ -sufficient with respect to this Newton filtration induced by  $\mathcal{A}$ .

### 3. Proofs of Theorem 2, 4 and 6.

#### 3.1. Proof of Theorem 2.

In order to show this Theorem 2 we need the following lemma.

**Lemma 11.**  $\|\nabla_{\mathcal{A}}\rho\|_{\mathcal{A}} \leq c\rho(x)$ ,  $c > 0$  constant.

*Proof.* We first recall that :

$$\|\nabla_{\mathcal{A}}\rho\|_{\mathcal{A}}^2 = \sum_{i=1}^n \left( \rho_i \frac{\partial \rho}{\partial x_i}(x) \right)^2.$$

Therefore, our lemma is a simple consequence of the construction of the compensation factors and the control function (see [1, 2] for details).  $\square$

The proof of Theorem 2 will follow the proof given by Kuo and Paunescu in the quasi-homogeneous case ([8, 9]). Take any  $P \in E(n, p)$  with the property that  $f$  and  $f + P$  are  $d$ -equivalent, and let  $F(x, t) = f(x) + tP(x)$ , where  $t \in \mathbb{R}$ . By addition to the bilinear form in (1.3), we define a new metric by

$$\left\langle \frac{\partial}{\partial x_i}, \frac{\partial}{\partial t} \right\rangle = 0, \quad i = 1, \dots, n, \quad \left\langle \frac{\partial}{\partial t}, \frac{\partial}{\partial t} \right\rangle = 1.$$

By elementary calculation, we can express the gradient vector field of the function  $F_j$  with respect to this metric singular Riemannian metric as follows :

$$\nabla_{\mathcal{A}} F_j(x, t) = \sum_{i=1}^n \rho_i \left( \frac{\partial f_j}{\partial x_i}(x) + t \frac{\partial P_j}{\partial x_i}(x) \right) \rho_i \frac{\partial}{\partial x_i} + P_j(x) \frac{\partial}{\partial t}$$

(here  $f_j, P_j$  are the corresponding components of  $f, P$  respectively).

We shall show that any  $t_0 \in \mathbb{R}$  has a neighborhood  $T$  such that for any  $t_1, t_2 \in T$ , the germs of  $F(x, t_1) = 0$  and  $F(x, t_2) = 0$  are homeomorphic, and due to the fact that  $I = [0, 1]$  is compact it will follow that the germs of  $F(x, 0) = 0$  and  $F(x, 1) = 0$  are homeomorphic. Therefore  $f$  is  $d$ -sufficient.

Let  $(0, t_0)$  be a given point of the  $t$ -axis. Consider the map  $g(x) = f(x) + t_0 P(x)$ , then  $|F_j(x, t) - g_j(x)| = |t - t_0| |P_j(x)|$ ,  $j = 1, \dots, p$ . Since  $f$  and  $f + P$  are  $d$ -equivalent, we can choose a sufficiently small neighborhood  $T$  of  $t_0$  and a neighborhood  $U$  of  $0 \in \mathbb{R}^n$ , such that  $|F(x, t) - g(x)| \leq c\rho^d$ .

Thus, the variety  $F(x, t) = 0$  for  $(x, t) \in U \times T$ , is contained in the  $H_d(g, c) \times T$ . This is one of the reasons for introducing this set. We have the following lemma.

**Lemma 12.**  $\|N(F_j, (x, t))\|_{\mathcal{A}} \geq \frac{\epsilon}{2} \rho^{d-\delta}$ ,  $(x, t) \in H_d(g, c) \times T$ ,  $j = 1, \dots, p$ .

*Proof.* We note that if  $\#\mathcal{F}(\mathcal{A}) = 1$ , one finds Lemma 1 of Paunescu [9]. Moreover, the proof of this lemma is similar to that of Lemma 1 in [9] (we omit the details).  $\square$

**Corollary 13.** For  $(x, t)$  as above,  $x \neq 0$ , the vectors  $\nabla_{\mathcal{A}} F_j(x, t)$ ,  $1 \leq j \leq p$ , are linearly independent.

*Proof.* Obvious. Use Lemma 12.  $\square$

Now we can introduce the Kuo vector field as follows :

$$K(x, t) = \frac{\partial}{\partial t} - \sum_{j=1}^p \frac{P_j}{\|N_j\|_{\mathcal{A}}} N_j \quad \text{if } x \neq 0 \quad \text{and} \quad K(0, t) = \frac{\partial}{\partial t},$$

where  $N_j = N(F_j, (x, t))$ ,  $j = 1, \dots, p$ . We see  $K$  is tangent to the level of  $F = 0$ , whenever  $x \neq 0$ . Furthermore, from the construction of the Kuo vector field,  $K$  is  $C^1$  outside  $x = 0$  and continuous everywhere in  $H_d(g, c) \times T$ .

One can write  $N_j = \sum_{i=1}^n \rho_i C_{ij}(x, t) \rho_i \frac{\partial}{\partial x_i} + L_j(x, t) \frac{\partial}{\partial t}$ , and  $K$  can be written as :

$$\begin{aligned} K(x, t) &= \left(1 - \sum_{j=1}^p \frac{L_j P_j}{\|N_j\|_{\mathcal{A}}^2}\right) \frac{\partial}{\partial t} - \sum_{i=1}^n \left(\sum_{j=1}^p \frac{P_j C_{ij}}{\|N_j\|_{\mathcal{A}}^2}\right) \rho_i^2 \frac{\partial}{\partial x_i} \\ &= X \frac{\partial}{\partial t} - \sum_{i=1}^n X_i \frac{\partial}{\partial x_i}. \end{aligned}$$

Recall that  $\|N_j\|_{\mathcal{A}}^2 = \sum_{i=1}^n (C_{ij} \rho_i)^2 + L_j^2$  and  $|P_j| \leq a \rho^d$ . It follows from Lemma 12 that  $X$  tends to 1 as  $x$  tends to 0, and  $X_i$  tends to 0 when  $x$  tends to 0. In other words, we have the following inequalities

$$(3.1) \quad \frac{|P_j|}{\|N_j\|_{\mathcal{A}}} \leq \frac{a \rho^d}{\frac{\epsilon}{2} \rho^{d-\delta}} \quad \text{and} \quad |X_i| \leq \sum_{j=1}^p \frac{|P_j|}{\|N_j\|_{\mathcal{A}}} \frac{|C_{ij} \rho_i|}{\|N_j\|_{\mathcal{A}}} \rho_i \leq c_i \rho_i$$

in a small Newton horn-neighborhood of 0,  $c_i > 0$ ,  $1 \leq i \leq n$ ,  $j = 1, \dots, p$ .

Now consider two Liapunov functions  $U(x, t) = e^{2Lt} \rho^2$  and  $V(x, t) = e^{-2Lt} \rho^2$ . In order to show that the integration of this vector field gives us the homeomorphism, it is enough to show that  $\nabla U \cdot K > 0$  and  $\nabla V \cdot K < 0$  for  $x \neq 0$  (see [7, 8]). Indeed, by a simple computation yields

$$\begin{aligned} \nabla U \cdot K &= 2e^{2Lt} \rho \left( L \rho X + \sum_{i=1}^n \frac{\partial \rho}{\partial x_i} X_i \right) \\ &\geq e^{2Lt} \rho \left( L \rho X - \sum_{i=1}^n \left| \frac{\partial \rho}{\partial x_i} \right| |X_i| \right) \\ &\geq e^{2Lt} \rho \left( L \rho X - \sum_{i=1}^n \left| \frac{\partial \rho}{\partial x_i} \right| c_i \rho_i \right) \end{aligned}$$

(here we have used the second inequality in (3.1)).

According to the lemma 11, we have  $\rho_i \left| \frac{\partial \rho}{\partial x_i} \right| \leq M \rho$ , some  $M > 0$ . Thus, we can choose  $L$  big enough such that  $\nabla U \cdot K > 0$ ,  $x \neq 0$ . In a similar way we can prove the other inequality. This completes the proof of Theorem 2.

**3.2. Proof of Theorem 4.** Since (ii)  $\Rightarrow$  (i) have already been obtained in Theorem 2, and (ii)  $\Rightarrow$  (iii) is proved in exactly the same way as Theorem B in [9], we have only to prove (iii)  $\Rightarrow$  (ii) and (i)  $\Rightarrow$  (ii).

Suppose now that (ii) is fails. In order to prove that (iii) is fails we will construct a function  $\tilde{f} \in E(n, P)$  such that  $\tilde{f}$  and  $f$  are  $d$ -equivalent but  $\nabla \tilde{f}_j$ ,  $j = 1, \dots, p$ , are linearly dependent along an analytic arc in  $\tilde{f}^{-1}(0)$ .

The following proof will be similar to that given in [8, 9], so we will point out only the differences.

Let  $g \in \mathcal{E}_{[w]}(n, p)$   $d$ -equivalent with  $f$  and such that for any  $c$ ,  $\epsilon$ ,  $\delta$  and any neighborhood  $U$  of 0, the Łojasiewicz inequality related to the Newton polyhedron (2.1) fails. Let  $E$  be the following semi-analytic set

$$E = \{ u \in H_d(g, 1) \mid d_{\mathcal{A}}(\nabla_{\mathcal{A}} f_1(u), \dots, \nabla_{\mathcal{A}} f_p(u)) = \min_{\substack{\rho(u) = \rho(x) \\ x \in H_d(g, 1)}} d_{\mathcal{A}}(\nabla_{\mathcal{A}} f_1(x), \dots, \nabla_{\mathcal{A}} f_p(x)) \}$$

Because  $E$  is a semi-analytic set. Applying the curve selection lemma, one can find an analytic arc  $\beta: [0, \epsilon] \rightarrow E$  such that  $\beta(0) = 0$  and  $\beta(t) \neq 0$  for  $t > 0$ . Modulo a permutation, we can choose this arc such that along  $\beta$ ,

$$\begin{aligned} d_{\mathcal{A}}(\nabla_{\mathcal{A}} f_1(\beta(t)), \dots, \nabla_{\mathcal{A}} f_p(\beta(t))) &= \|N(f_1, \beta(t))\|_{\mathcal{A}} \\ &= \|(\nabla_{\mathcal{A}} f_1(\beta(t)) - \sum_{k=2}^p \lambda_k(t) \nabla_{\mathcal{A}} f_k(\beta(t)))\|_{\mathcal{A}}, \end{aligned}$$

where  $\lambda_k$  are analytic for  $k = 2, \dots, p$ .

Let  $r$  and  $\mu$  be the numbers such that  $\rho(\beta(t)) \sim t^r$  and  $\|N(f_1, \beta(t))\|_{\mathcal{A}} \sim t^\mu$ . Here  $A(t) \sim B(t)$  means that  $\frac{A}{B}$  lies between two positive constants, for  $t > 0$  and  $t$  small. Then due to the fact that (ii) fails we have  $\frac{\mu}{r} \geq d$ . Actually if  $\beta_i(t) \sim t^{s_i}$ ,  $\rho_i(\beta(t)) \sim t^{q_i}$  and  $|\frac{\partial f_1}{\partial x_i}(\beta(t)) - \sum_{k=2}^p \lambda_k(t) \frac{\partial f_k}{\partial x_i}(\beta(t))| \sim t^{\mu_i}$  for  $i = 1, \dots, n$ , then we can see

$$(3.2) \quad \mu = \min\{\mu_i + q_i\}, \quad r = \min\{\langle \alpha, s \rangle \mid \alpha \in \text{Ver}(\mathcal{A})\} \quad \text{and} \quad q_i = \min\{rA(i), s_i\}.$$

Modulo a permutation we may assume  $s_1 = \min\{s_i\}$  and  $\beta_1(t) = t^{s_1}$ .

Let  $l(\mathcal{A})$  denote the distance from the origin to the Newton polyhedron  $\Gamma_+(\mathcal{A})$  by defining

$$l(\mathcal{A}) = \min \left\{ \sum_{i=1}^n \alpha_i \mid \alpha \in \text{Ver}(\mathcal{A}) \right\}.$$

From the definition of the weight  $\mathcal{A}(i)$ , it is easy to check that

$$(3.3) \quad l(\mathcal{A}) \max\{\mathcal{A}(1), \dots, \mathcal{A}(n)\} \geq 1.$$

Let us put  $M(\mathcal{A}) = \max\{\mathcal{A}(1), \dots, \mathcal{A}(n)\}$ . Since  $\mu \geq rd$ , it follows from (3.2) that for  $1 \leq i \leq n$  we have  $\mu_i + q_i \geq \mu \geq rd$  and  $q_i \leq rM(\mathcal{A})$  so  $\mu_i \geq r(d - M(\mathcal{A}))$ . Also from (3.2) we have that  $r \geq s_1 l(\mathcal{A})$ , hence

$$(3.4) \quad \frac{\mu_i}{s_1} \geq l(\mathcal{A})(d - M(\mathcal{A})) \quad \text{for } i = 1, \dots, n.$$

Now let us consider the following functions

$$Q_i(x_1) = \left( \frac{\partial f_1}{\partial x_i}(\beta(|x_1|^{1/s_1})) - \sum_{k=2}^p \lambda_k(|x_1|^{1/s_1}) \frac{\partial f_k}{\partial x_i}(\beta(|x_1|^{1/s_1})) \right)$$

for  $i = 1, \dots, n$  and

$$P(x) = f(\beta(|x_1|^{1/s_1})) + \sum_{i=2}^n Q_i(x_1)(x_i - \beta_i(|x_1|^{1/s_1})).$$

Also we define  $\tilde{f}: (\mathbb{R}^n, 0) \rightarrow (\mathbb{R}^p, 0)$  by

$$\begin{aligned} \tilde{f}_1(x) &= f_1(x) - P(x) \\ \tilde{f}_k(x) &= f_k(x) - f_k(\beta(|x_1|^{1/s_1})), \quad k = 2, \dots, p. \end{aligned}$$

Using our assumption about  $d$ ,  $d \geq 3M(\mathcal{A})$ , it follows from (3.4) and  $l(\mathcal{A})M(\mathcal{A}) \geq 1$  that the order of  $Q_i(x_1)$  is  $\frac{\mu_i}{s_1} \geq l(\mathcal{A})(d - M(\mathcal{A})) \geq 2$  for  $i = 1, \dots, n$ , thus our  $P$  is a  $C^2$  function, hence  $\tilde{f} \in E(n, p)$  and moreover  $f$  and  $\tilde{f}$  are  $d$ -equivalent. This follows from the construction of  $\tilde{f}$  and the fact that  $f$  is analytic. We can check that our representative of the class of  $f$  has the following property :

$$\tilde{f}(\beta(t)) = 0 \quad \text{and} \quad \nabla \tilde{f}_1(\beta(t)) - \sum_{k=2}^p \lambda_k(t) \nabla \tilde{f}_k(\beta(t)) = 0.$$

Moreover, using this  $\tilde{f}$  one can prove (just as in [8, 9]) that non (ii)  $\Rightarrow$  non (i). This completes the proof of Theorem 4.

**3.3. Proof of Theorem 6.** Let us put  $X = F^{-1} - \{0\} \times \mathbb{R}$  and  $Y = \{0\} \times \mathbb{R}$ . Now from the Theorem 5 in [1], it is enough to show that  $(X, Y)$  is  $(w^{\mathcal{A}})$ -regular, that is,

$$(3.5) \quad \|d^x F\|_{\mathcal{A}} \lesssim \|d_x F\|_{\mathcal{A}} \quad \text{holds on } X \text{ near } Y.$$

Where

$$\begin{aligned} \|d_x F\|_{\mathcal{A}}^2 &= \sum_{1 \leq i_1 < \dots < i_p \leq n} \left( \rho_{i_1} \cdots \rho_{i_p} \left| \frac{\partial F}{\partial(x_{i_1}, \dots, x_{i_p})} \right| \right)^2, \\ \|d^x F\|_{\mathcal{A}}^2 &= \sum_{1 \leq i_1 < \dots < i_{p-1} \leq n} \left( \rho_{i_1} \cdots \rho_{i_{p-1}} \left| \frac{\partial F}{\partial(x_{i_1}, \dots, x_{i_{p-1}}, t)} \right| \right)^2 \text{ and} \\ \|dF\|_{\mathcal{A}}^2 &= \|d_x F\|_{\mathcal{A}}^2 + \|d^x F\|_{\mathcal{A}}^2. \end{aligned}$$

Here  $A \lesssim B$  means there is some positive constant  $C$  with  $A \leq CB$ .

For  $j = 1, \dots, p$ , we let  $F^{[j]} = (F_1, \dots, \widehat{F_j}, \dots, F_p)$  and  $X^{[j]} \equiv F^{[j]} = 0$ . Here,  $\widehat{\phantom{x}}$  indicates that we omit the letter (or the portion) to which  $\widehat{\phantom{x}}$  is attached. We note that the normal space of  $X^{[j]}$  with respect our metric, denoted by  $V_{\mathcal{A},j}$ , is the subspace spanned by the  $\{\nabla_{\mathcal{A}} F_1, \dots, \widehat{\nabla_{\mathcal{A}} F_j}, \dots, \nabla_{\mathcal{A}} F_p\}$ . From the corollary 13, the vectors  $\nabla_{\mathcal{A}} F_k(x, t)$ , are linearly independent. Then by lemma 1.4 in [5], we obtain that the projection  $\pi_j$ , with respect to our metric, onto the tangent space  $T_{\mathcal{A},x,t} X^{[j]} = V_{\mathcal{A},j}^{\perp}$  is expressed by the following form.

$$(3.6) \quad \pi_j(v) = \sum_{i=1}^n \frac{\langle dF^{[j]} \wedge dx_i, dF^{[j]} \wedge v \rangle}{\|dF^{[j]}\|_{\mathcal{A}}^2} \rho_i^2 \frac{\partial}{\partial x_i} + \frac{\langle dF^{[j]} \wedge dt, dF^{[j]} \wedge v \rangle}{\|dF^{[j]}\|_{\mathcal{A}}^2} \frac{\partial}{\partial t}$$

Since  $N(F_j, (x, t)) = \pi_j(\nabla_{\mathcal{A}} F_j)$ , we can easily see that

$$(3.7) \quad \|N(F_j, (x, t))\|_{\mathcal{A}}^2 = \langle \pi_j(\nabla_{\mathcal{A}} F_j), \nabla_{\mathcal{A}} F_j \rangle = \frac{\|dF\|_{\mathcal{A}}^2}{\|dF^{[j]}\|_{\mathcal{A}}^2}.$$

By the Cauchy-Schwartz inequality, we have

$$\left| \frac{\partial F}{\partial(x_{i_1}, \dots, x_{i_{p-1}}, t)} \right|^2 \leq \sum_{j=1}^p \left| \frac{\partial F^{[j]}}{\partial(x_{i_1}, \dots, x_{i_{p-1}})} \right|^2 \left| \frac{\partial F_j}{\partial t} \right|^2,$$

so that

$$\left( \rho_{i_1} \cdots \rho_{i_{p-1}} \left| \frac{\partial F}{\partial(x_{i_1}, \dots, x_{i_{p-1}}, t)} \right| \right)^2 \leq \sum_{j=1}^p \left( \rho_{i_1} \cdots \rho_{i_{p-1}} \left| \frac{\partial F^{[j]}}{\partial(x_{i_1}, \dots, x_{i_{p-1}})} \right| \right)^2 \left| \frac{\partial F_j}{\partial t} \right|^2,$$

then

$$(3.8) \quad \|d^x F\|_{\mathcal{A}}^2 \leq \sum_{j=1}^p \|dF^{[j]}\|_{\mathcal{A}}^2 \left| \frac{\partial F_j}{\partial t} \right|^2.$$

Since  $\left| \frac{\partial F_j}{\partial t} \right| = |f_j - g_j| \lesssim \rho^d$ . This is clear because  $f$  and  $g$  are  $d$ -equivalent. It follows from Lemma 12 that

$$\left| \frac{\partial F_j}{\partial t} \right| \ll \rho^{d-\delta} \lesssim \|N(F_j, (x, t))\|_{\mathcal{A}}$$

and, using (3.8), we obtain

$$(3.9) \quad \|d^x F\|_{\mathcal{A}}^2 \ll \sum_{j=1}^p \|dF^{[j]}\|_{\mathcal{A}}^2 \|N(F_j, (x, t))\|_{\mathcal{A}}^2.$$



It now follows from (3.7) that (3.5) holds. This completes the proof of Theorem 6.

#### REFERENCES

- [1] Ould. M. Abderrahmane : *Stratification theory from the Newton polyhedron point of view*, to appear in Ann. Inst. Fourier, Grenoble.
- [2] Ould. M. Abderrahmane : *Polyèdre de Newton et trivialité en famille*, J. Math. Soc. Japan. Vol. **54**, (2002), 513–550.
- [3] K. Bekka : *(c)-régularité et trivialité topologique*, Warwick 1989, Part I, D. Mond and J. Montaldi, Eds, SLMN **1462**, Springer, (1991), 42–62.
- [4] K. Bekka and S. Koike : *The Kuo condition, an inequality Thom’s type and (c)-regularity*, Topology **37** (1998), 45–62.
- [5] T. Fukui and L. Paunescu : *Stratification theory from the weighted point of view*, Canad. J. math. **53** (2001), 73–97.
- [6] A.G. Kouchnirenko : *Polyèdres de Newton et nombres de Milnor*, Invent. math. **32** (1976), 1–31.
- [7] T.-C. Kuo : *On  $C^0$ -sufficiency of jets of potential functions*, Topology **8** (1969), 167–171.
- [8] T.-C. Kuo : *Characterizations of V-sufficiency of jets*, Topology **11** (1972), 115–131.
- [9] L. Paunescu : *V-sufficiency from the weighted point of view*, J. Math. Soc. Japan. **46** (1994), 313–320.

DEPARTMENT OF MATHEMATICS, FACULTY OF SCIENCE, SAITAMA UNIVERSITY, 255 SHIMO-OKUBO, URAWA, 338-8570 JAPAN

*E-mail address:* vould@rimath.saitama-u.ac.jp