

A FOURIER RESTRICTION ESTIMATE FOR A SURFACE OF FINITE TYPE

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joint work with Detlef Müller and Ana Vargas

Interactions between Harmonic Analysis and Geometric Analysis
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Adjoint Operator: $R^*(g)(x) = \widehat{g d\sigma}(x) = \int_S g(\xi) e^{-ix \cdot \xi} d\sigma(\xi)$.

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If $S \subset \mathbb{R}^{n-1}$ this is sharp.



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- Further progress by multilinear approach (Bourgain and Guth 2011), "polynomial method" (Guth 2015)

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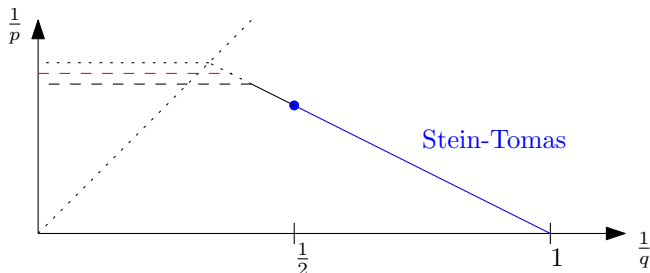
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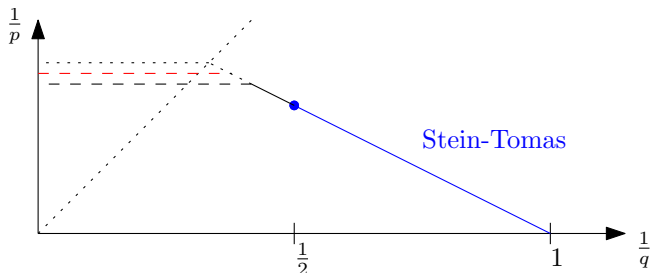
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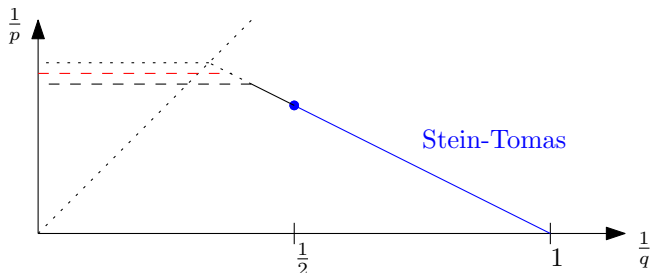


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- Nikishin-Maurey-Pisier factorisation: (∞, p) implies (p, p) for $S = S^n$

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Stovall 2014

$R^* : L^{q,p}(\Gamma) \rightarrow L^p(\mathbb{R}^{n+1})$ for $\frac{1}{q'} \geq \frac{m+n}{np}$ and p such that the restriction conjecture holds.

The proof involves affine arclength measure.

Known results

Let $S = \{(x_1, x_2, x_1^{m_1} + x_2^{m_2}) | x_1, x_2 \in [0, 1]\}$, $m_1 \geq m_2 \geq 2$.

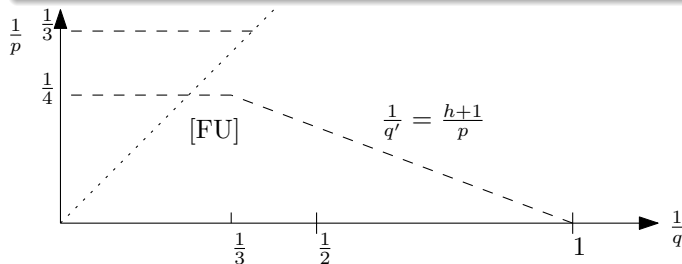
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Ferreyra and Urciuolo 2009

$R^* : L^q(S, \sigma) \rightarrow L^p(\mathbb{R}^3)$ if $\frac{1}{q'} > \frac{h+1}{p}$ and $p > 4$.

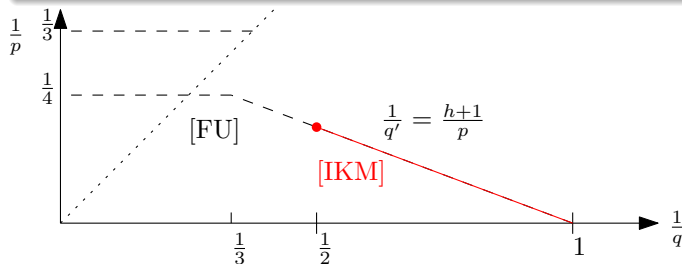


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Ikromov, Kempe and Müller 2010

$R^* : L^2(S, \sigma) \rightarrow L^p(\mathbb{R}^3)$ if $p \geq 2h + 2$.



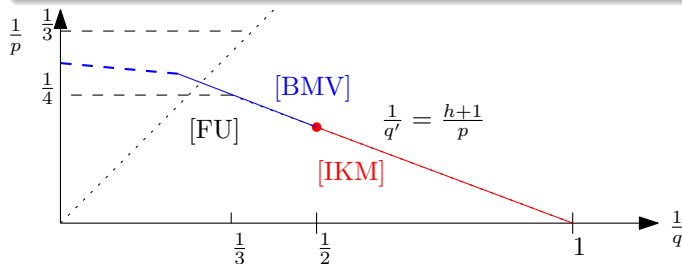
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B., Müller and Vargas 2014

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Assume $R^* : L^q(S, \sigma) \rightarrow L^p(\mathbb{R}^3)$. Then $\frac{1}{q'} \geq \frac{h+1}{p}$, $p > \max\{3, h+1\}$ and $\frac{1}{q} + \frac{2m_1+1}{p} < \frac{m_1+2}{2}$.

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- When $\frac{1}{p} \leq \frac{1}{q}$, the strong type result follows by interpolation.
- If $\frac{1}{q'} = \frac{h+1}{p}$ and $\frac{1}{p} \geq \frac{1}{q}$, $L^q(S, \sigma) \rightarrow L^p(\mathbb{R}^3)$ fails.

Different situations

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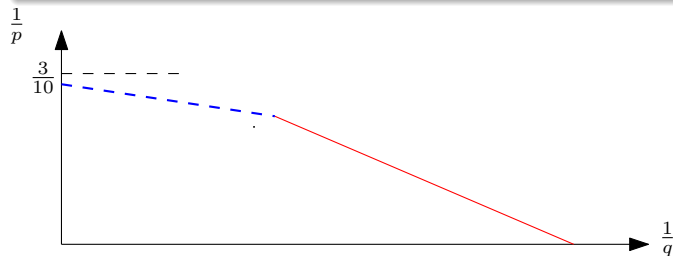
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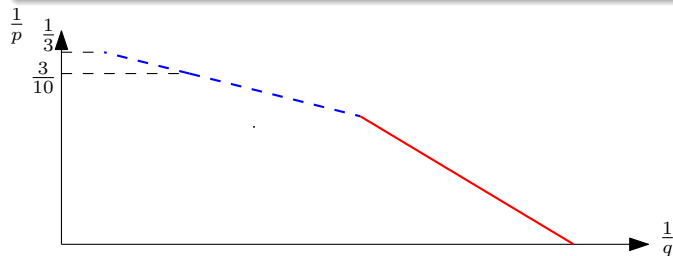
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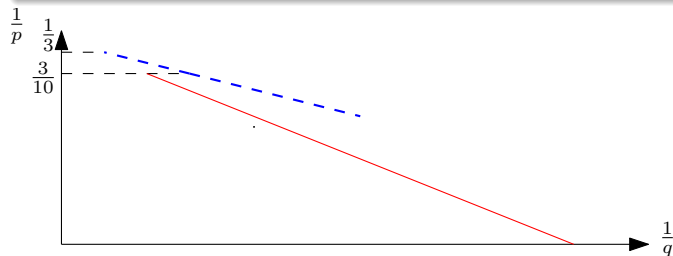
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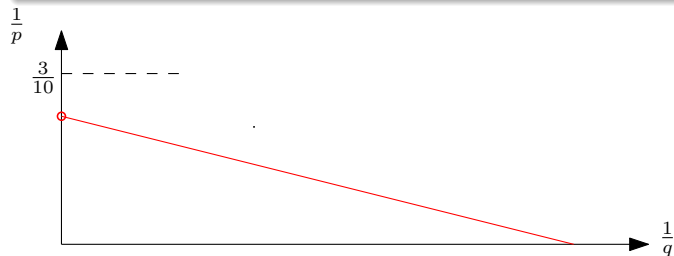
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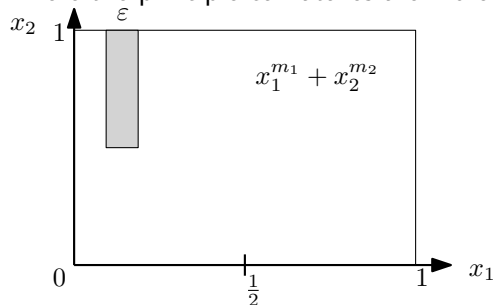


Necessary condition $\frac{1}{q} + \frac{2m_1+1}{p} < \frac{m_1+2}{2}$

Consider subsurface $S_\varepsilon = \{(x_1, x_2, x_1^{m_1} + x_2^{m_2}) | \varepsilon \leq x_1 \leq 2\varepsilon, \frac{1}{2} \leq x_2 \leq 1\}$,
where the principle curvatures are more or less constant

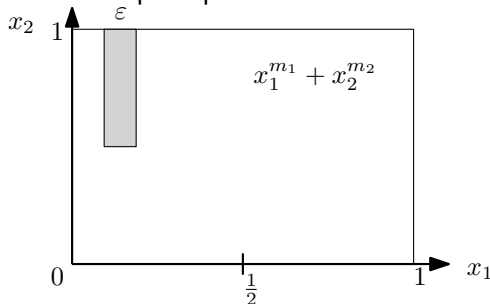
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Rescaling: $S(K) = \{(x_1, x_2, x_1^2 + x_2^2 + \mathcal{O}(|x|^3)) | x_1 \in [0, 1], x_2 \in [0, K]\}$, $K = \varepsilon^{-\frac{m_1}{2}}$ and

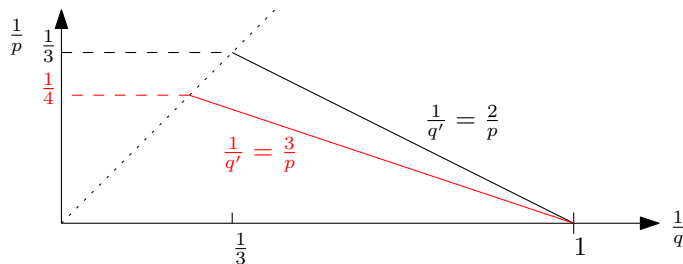
$$\|R^*\|_{L^q(S(\varepsilon^{-\frac{m_1}{2}})) \rightarrow L^p(\mathbb{R}^3)} \lesssim \varepsilon^{\frac{1}{p} \left(\frac{3m_1}{2} + 1 \right) - \frac{1}{q} \left(\frac{m_1}{2} + 1 \right)}.$$

Long-stretched paraboloid

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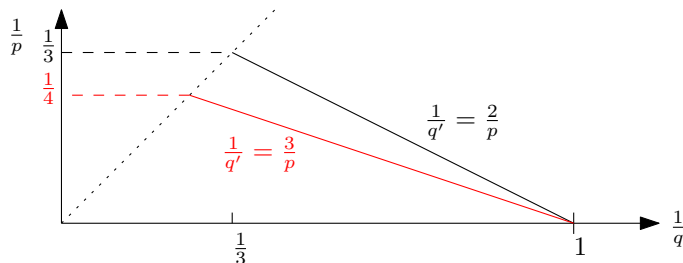
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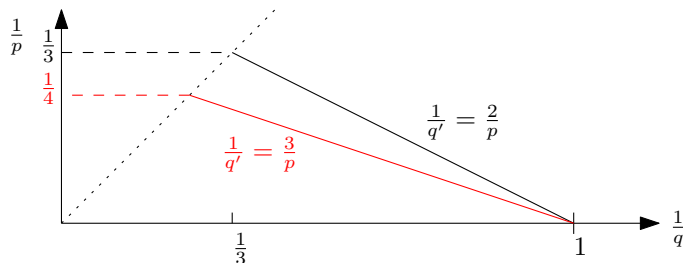
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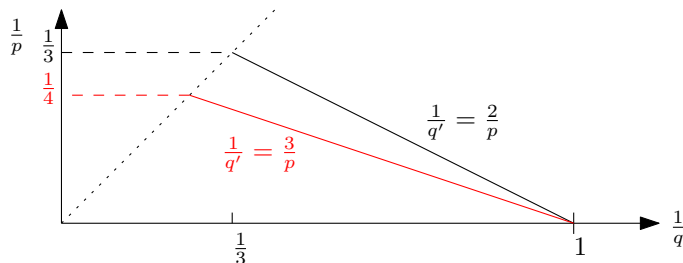


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On $\frac{1}{q'} = \frac{3}{p}$: Apply estimates for the Parabola.

Conjecture:

$$\|R^*\|_{L^q(S(K)) \rightarrow L^p(\mathbb{R}^3)} \lesssim K^{\left(\frac{1}{p} - \frac{1}{q}\right)_+}.$$

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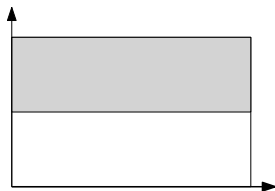
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For $\varepsilon \rightarrow 0$:

$$\frac{1}{q} + \frac{2m_1 + 1}{p} \leq \frac{m_1 + 2}{2}$$

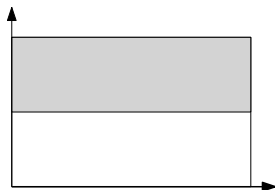
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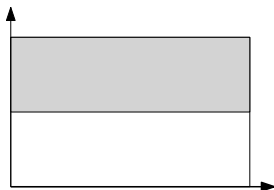
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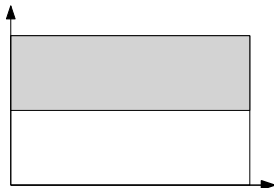
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The bilinear method

The "bilinear implies linear" argument due to Tao-Vargas-Vega 1997:

Let $Q = [0, 1] \times [0, 1]$ be the unit square.

Decompose $Q \times Q = \bigcup_{(k,l)} \tau_k \times \tau_l$ in a "suitable" way.

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Important: How does the constant depend on the specific pair of subsurfaces over (τ_k, τ_l) ?

Bidyadic decomposition

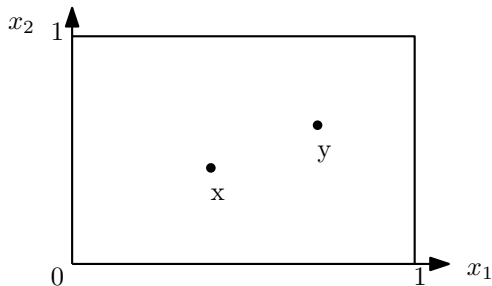
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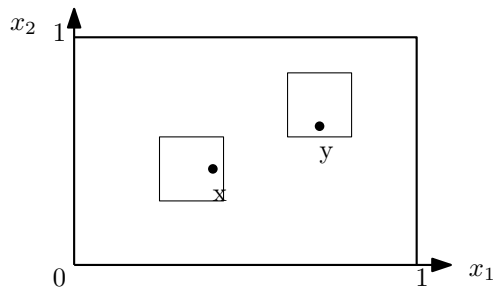
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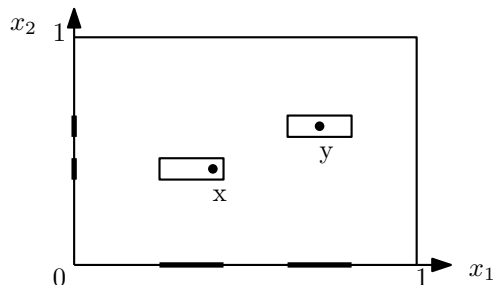
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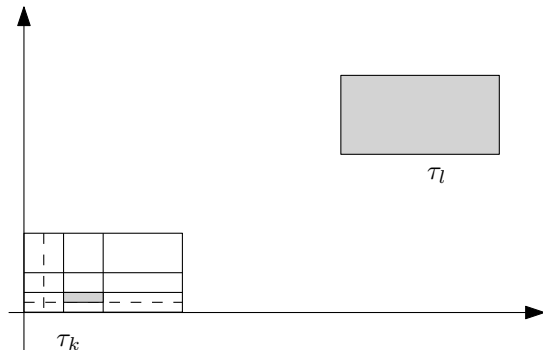
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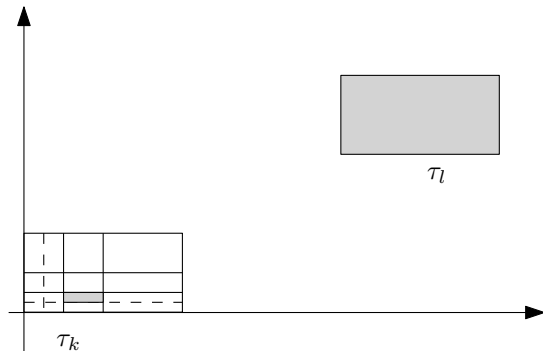


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The main reason for this decomposition is that the curvatures are essentially constant.

The bilinear method

- Global bilinear estimates:

$$\|\widehat{f d\sigma_k} \widehat{g d\sigma_l}\|_{L^p(\mathbb{R}^3)} \leq C(p, k, l) \|f\|_{L^2(S_k, \sigma_k)} \|g\|_{L^2(S_l, \sigma_l)},$$

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for $\varepsilon > 0$, $R \geq 1$ and certain cuboids $Q_{k,l}(R) \rightarrow \mathbb{R}^3$, $R \rightarrow \infty$.

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- Induction on scales: reduce ε step by step.
- Find a suitable rescaling to a "nice" situation ("isotropic" wave packets)
- Decompose into wave packets (well localised in position and momentum space)
- Geometric argument

Some troubleshooters

- Global bilinear estimates:

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- We need a quantitative version of the classical ε -removal argument, being sensitive to the dependence of the constant $C(p, k, l)$.

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- We need a quantitative version of the classical ε -removal argument, being sensitive to the dependence of the constant $C(p, k, l)$.
- For instance, the ε -removal uses some decay of the Fourier transform:
 $|\widehat{d\sigma_k}(x)| \leq C'(k)(1 + |x|)^{-s}.$

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- Induction on scales: reduce ε step by step.
- Start of the induction:

$$\begin{aligned} \|\widehat{f d\sigma_k} \widehat{g d\sigma_l}\|_{L^p(Q_{k,l}(R))} &\leq |Q_{k,l}(R)|^{\frac{1}{p}} \|\widehat{f d\sigma_k} \widehat{g d\sigma_l}\|_\infty \\ &\leq A(p, k, l) R^N \|f\|_{L^2(S_k, \sigma_k)} \|g\|_{L^2(S_l, \sigma_l)}. \end{aligned}$$

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- However, $A(p, k, l) \gg C(p, k, l)$.

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- Local bilinear estimates:

$$\|\widehat{f d\sigma_k} \widehat{g d\sigma_l}\|_{L^p(Q_{k,l}(R))} \leq C(p, k, l) C_\varepsilon R^\varepsilon \|f\|_{L^2(S_{k,\sigma_k})} \|g\|_{L^2(S_{l,\sigma_l})}.$$

for $\varepsilon > 0$, $R \geq 1$ and certain cuboids $Q_{k,l}(R) \rightarrow \mathbb{R}^3$, $R \rightarrow \infty$.

- Induction on scales: reduce ε step by step.
- Start of the induction:

$$\begin{aligned} \|\widehat{f d\sigma_k} \widehat{g d\sigma_l}\|_{L^p(Q_{k,l}(R))} &\leq |Q_{k,l}(R)|^{\frac{1}{p}} \|\widehat{f d\sigma_k} \widehat{g d\sigma_l}\|_\infty \\ &\leq A(p, k, l) R^N \|f\|_{L^2(S_{k,\sigma_k})} \|g\|_{L^2(S_{l,\sigma_l})}. \end{aligned}$$

- However, $A(p, k, l) \gg C(p, k, l)$.
- Similar problem for "Schwartz tail" contributions of wave packets

Completing the proof

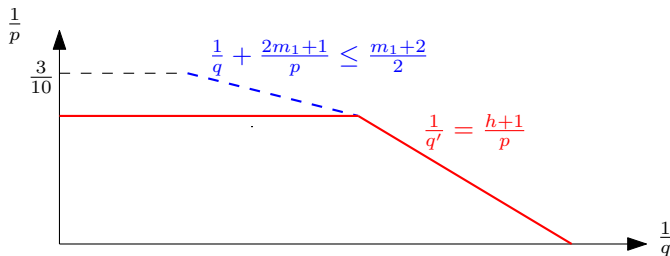
Let $S = \{(x_1, x_2, x_1^{m_1} + x_2^{m_2}) | x_1, x_2 \in [0, 1]\}$, $m_1 \geq m_2 \geq 2$.
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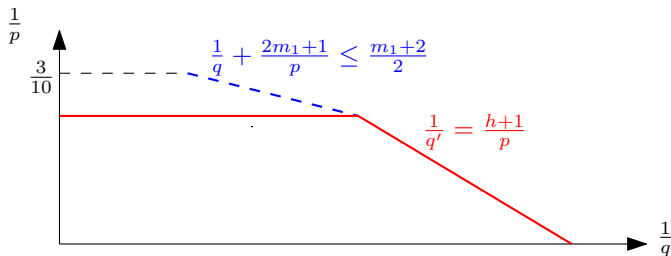
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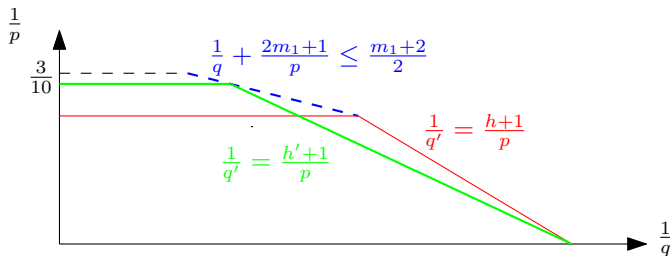
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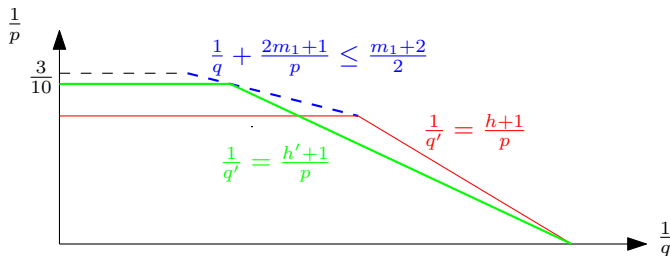
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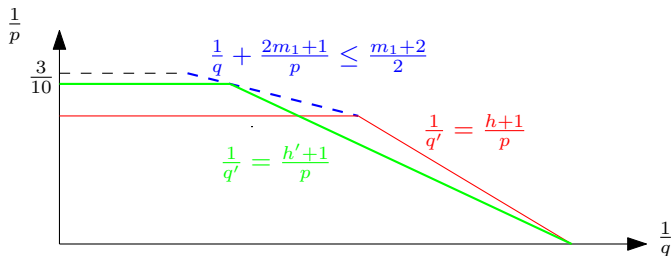
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Thank you for your attention!